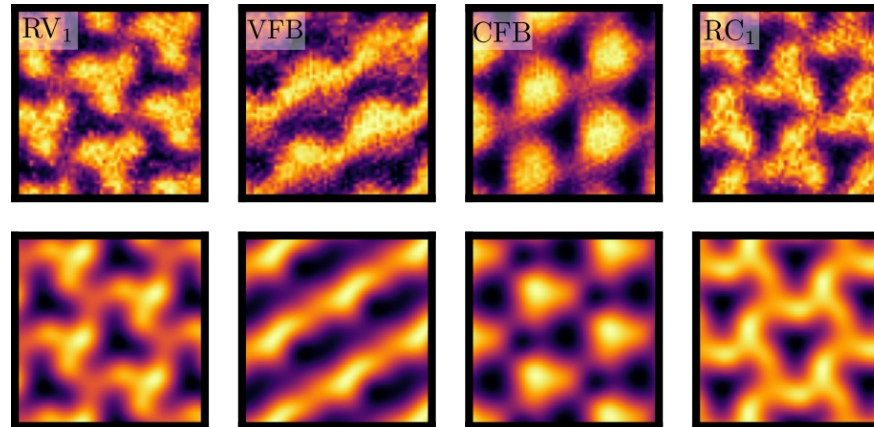


Machine Learning the Microscopic Form of Nematic Order in twisted double-bilayer graphene



João Augusto Sobral (University of Innsbruck -> University of Stuttgart)
Journal Club for Quantum Physics and Machine Learning (27/06/2023), ultracold.org
[arXiv:2302.12274](https://arxiv.org/abs/2302.12274)

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Columbia University



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Columbia University



Stefan Obernauer
University of Innsbruck



Mathias S. Scheurer,
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University of Stuttgart

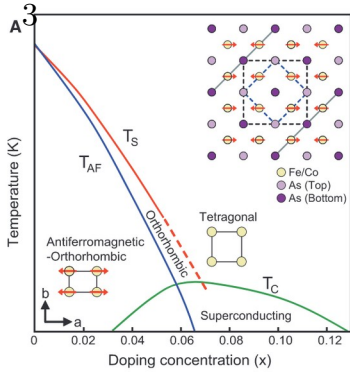
- Funding by the European Union (ERC-2021-STG, Project 101040651---SuperCorr).
- Discussions with Sayan Banerjee (UIBK), J. P. Valeriano (ICTP-SAIFR/Brazil), Igor Reis (USP/Brazil), Pedro H. P. Cintra (Unicamp/Brazil).
- More information about the slides: joaosds.github.io



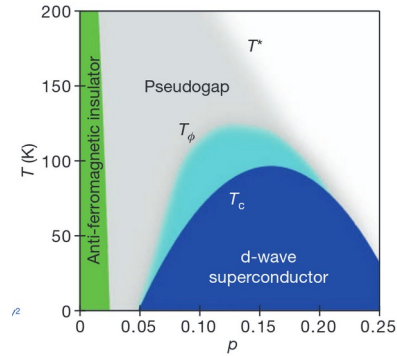
Funded by the
European Union

Nematicity

CaFe_2As_2
Iron-based superconductor

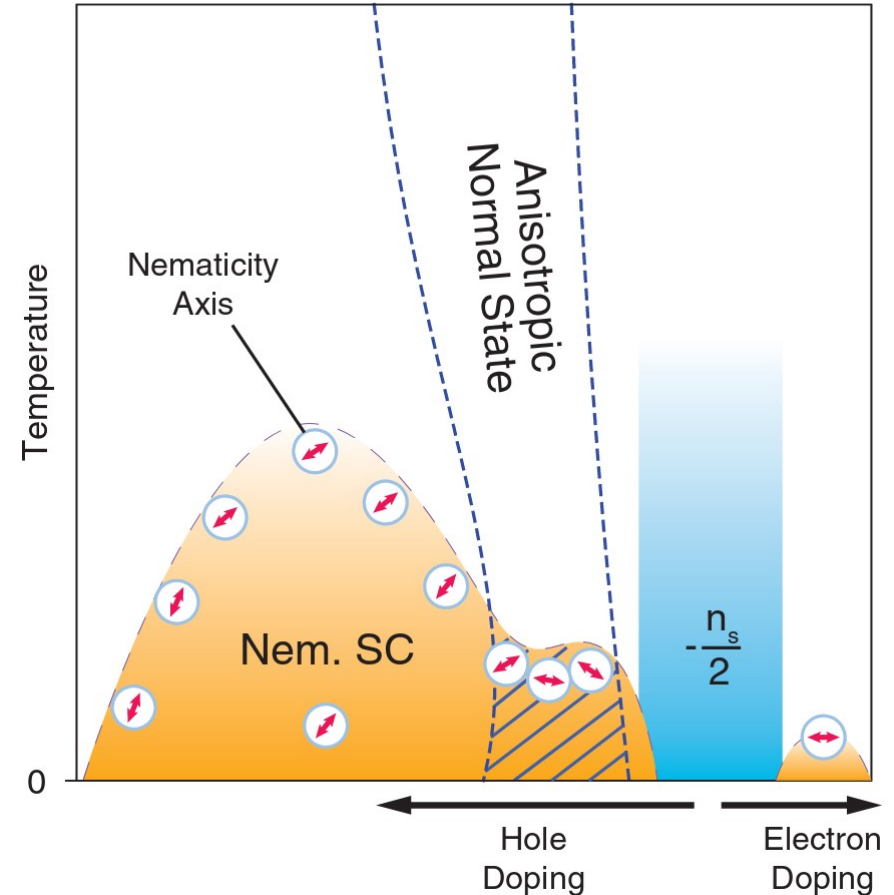


$\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$
Copper-oxide superconductors

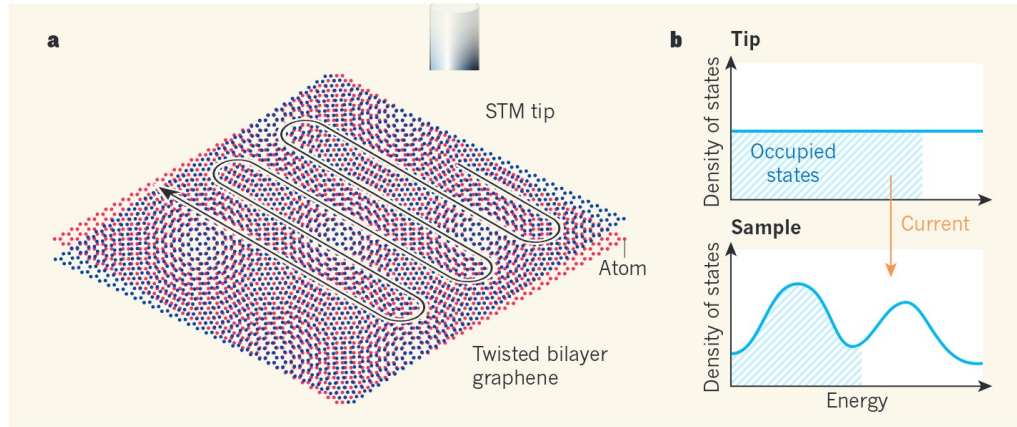


Lawler, M.J. et al, 2010. Nature, 466(7304) | Chuang, T.M, et al , 2010. Science, 327(5962), pp.181-184. | Fernandes, R.M., Chubukov, A.V. and Schmalian, J., 2014. Nature physics, 10(2), pp.97-104.

TBG: Y. Cao, D. Rodan-Legrain, J. M. Park, N. F. Q. Yuan, K. Watanabe, T. Taniguchi, R. M. Fernandes, L. Fu, and P. Jarillo-Herrero. Science 372, 264 (2021)



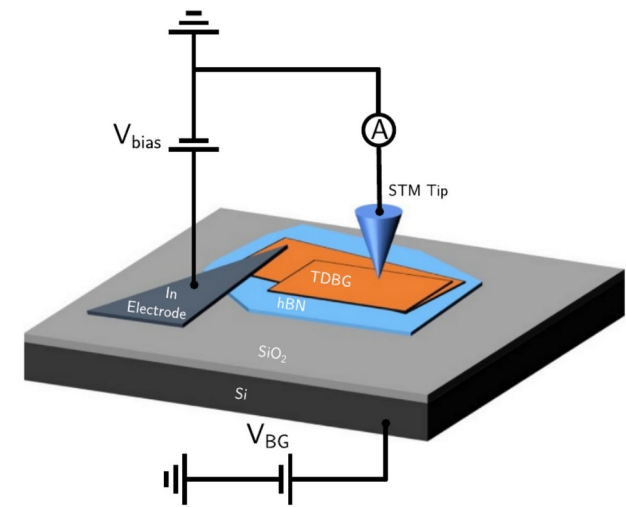
STM



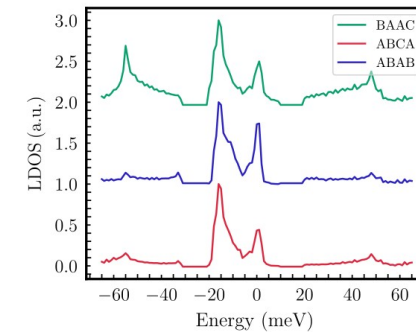
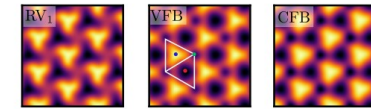
Scheurer, M.S., 2019. Spectroscopy of graphene with a magic twist. *Nature*, 572(7767), pp.40-41.

$$dI/dV \propto \mathcal{D}(\mathbf{r}, E)$$

$\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$



R. Samajdar, M. S. Scheurer, S. Turkel, et al. *2D Materials* 8, 034005 (2021).



Using AI to detect nematicity

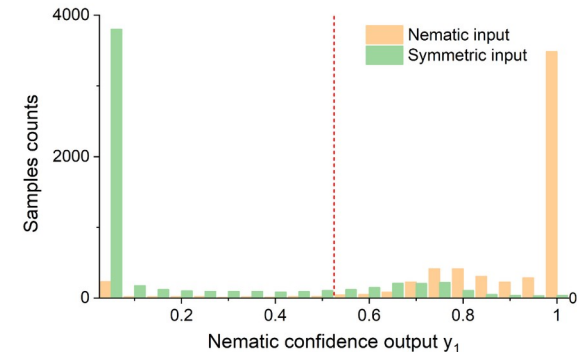
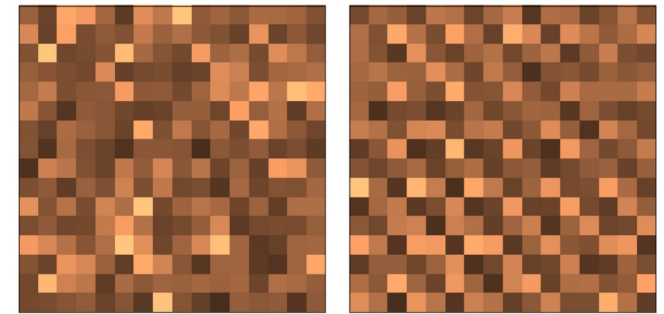
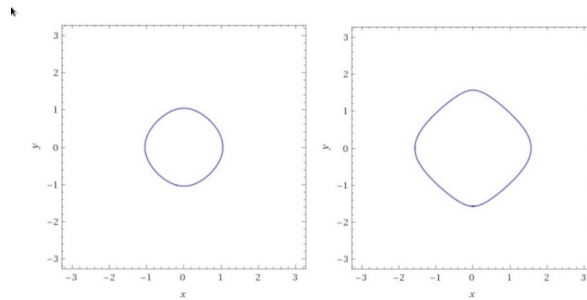
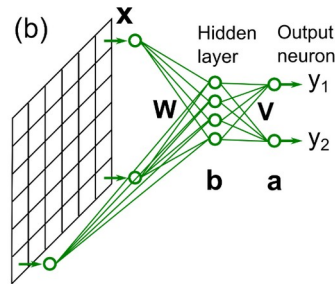
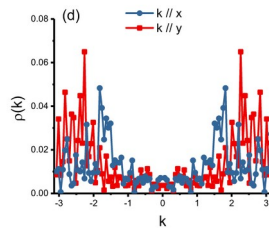
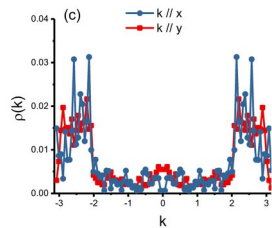
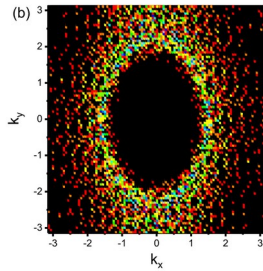
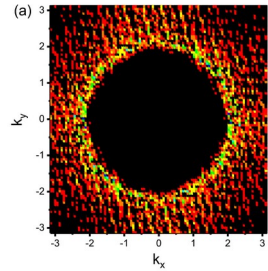
$$H = H_0 + H_{imp}$$

$$H_0 = -\sum_{\mathbf{r}} t_x c_{\mathbf{r}+\hat{x}}^\dagger c_{\mathbf{r}} + t_y c_{\mathbf{r}+\hat{y}}^\dagger c_{\mathbf{r}} + \text{h.c.}$$

$$H_{imp} = \sum_{\mathbf{r} \in R_w} w_{\mathbf{r}} c_{\mathbf{r}}^\dagger c_{\mathbf{r}},$$

$$O_N = \sum_{\mathbf{r}} N_{\mathbf{r}} \left[\cos(\mathbf{Q}_x \cdot \mathbf{r}) - \cos(\mathbf{Q}_y \cdot \mathbf{r}) \right] \xrightarrow{\text{DOS}}$$

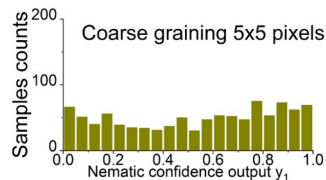
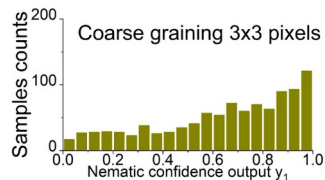
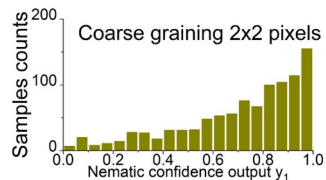
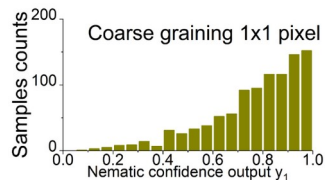
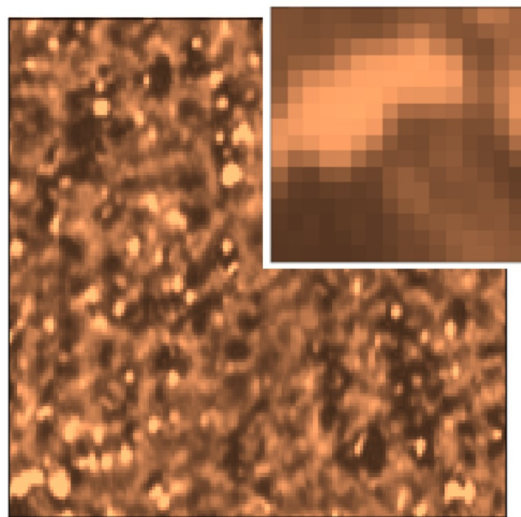
$$\mathbf{Q}_x = \left(\frac{2\pi}{a}, 0 \right) \text{ and } \mathbf{Q}_y = \left(0, \frac{2\pi}{a} \right)$$



Using AI to detect nematicity

CaFe_2As_2

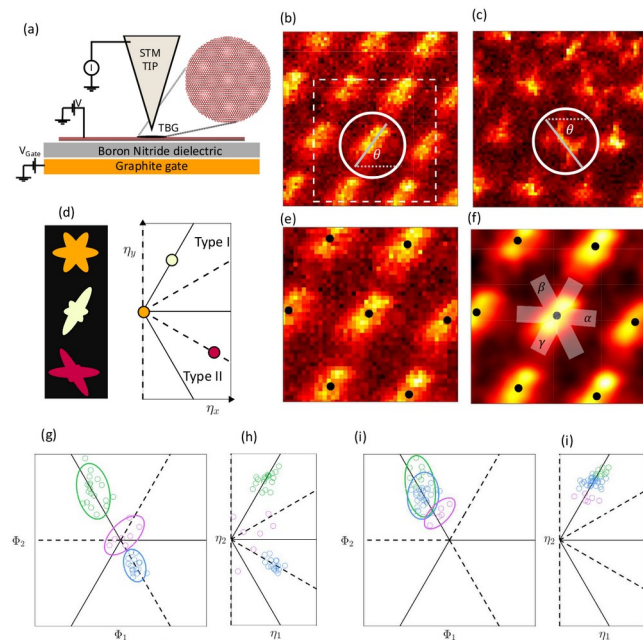
Goetz, J.B., et al 2020. SciPost Physics, 8(6), p.087.



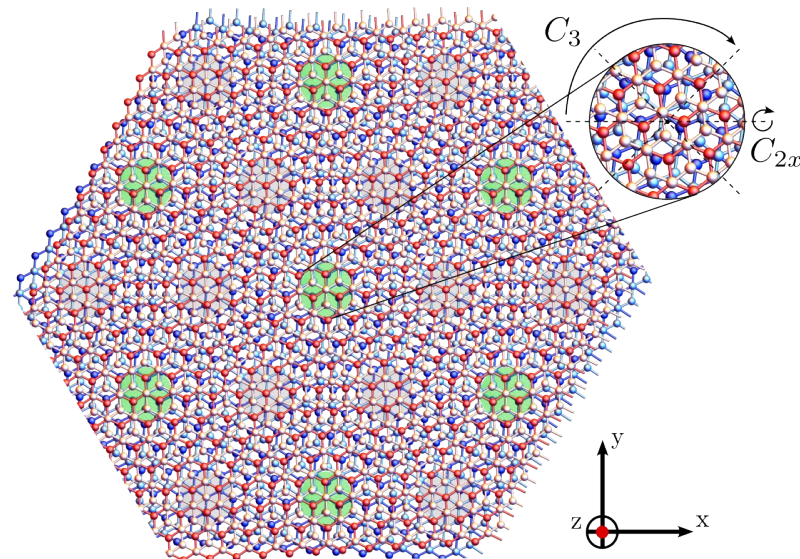
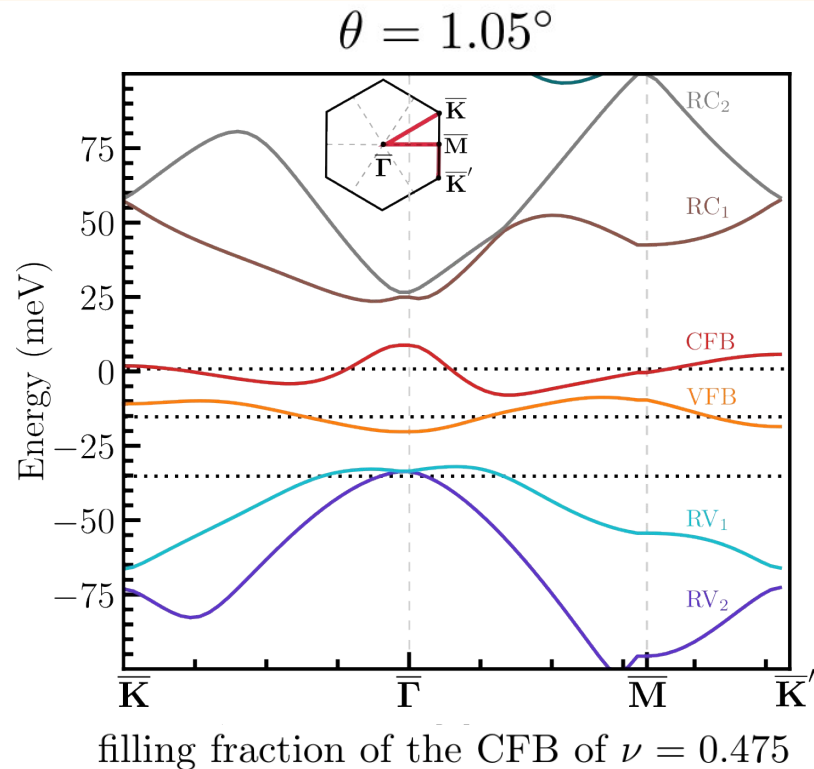
- ~90% exp dataset nematic;
- Need at least one hidden layer - $\rightarrow$ Non linearity...;
- Disorder in TB model is needed;
- No microscopic form;

Nematicity in TBG

Taranto, W., et al 2022. arXiv preprint arXiv:2203.04449.



Twisted double-bilayer graphene (TDBG)



$$H_{AB-AB} = \begin{pmatrix} H_0(\mathbf{k}_1) & S^\dagger(\mathbf{k}_1) & & \\ S(\mathbf{k}_1) & H'_0(\mathbf{k}_1) & U^\dagger & \\ & U & H_0(\mathbf{k}_2) & S^\dagger(\mathbf{k}_2) \\ & & S(\mathbf{k}_2) & H_0(\mathbf{k}'_2) \end{pmatrix} + V$$

interactions within graphene monolayers

interlayer asymmetric potential

Moiré interlayer coupling between twisted layers

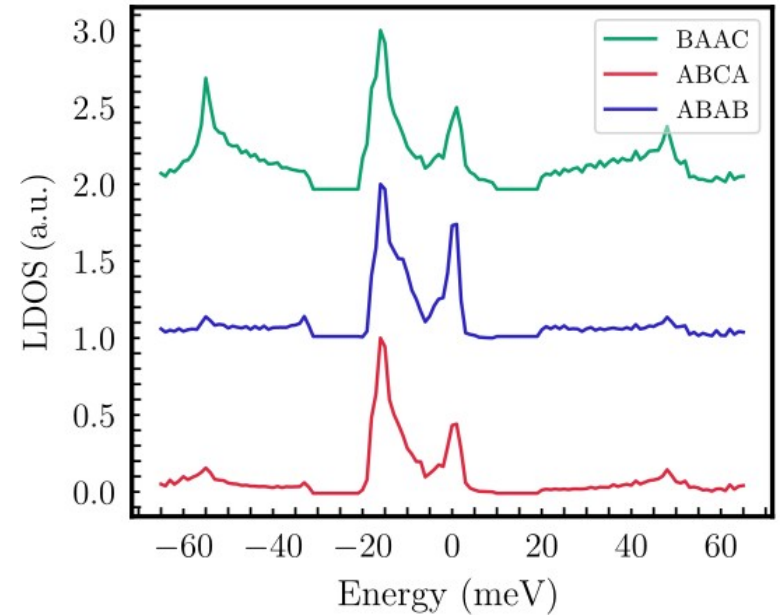
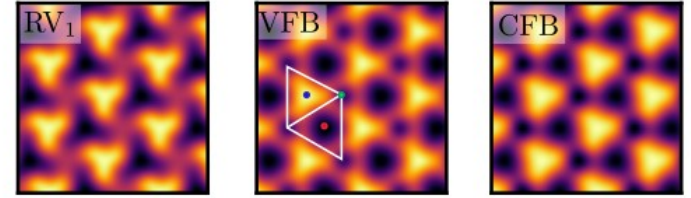
interlayer coupling of AB stacked BG

- Stiffness of bilayer graphene $\rightarrow$ uniform samples, low strain.

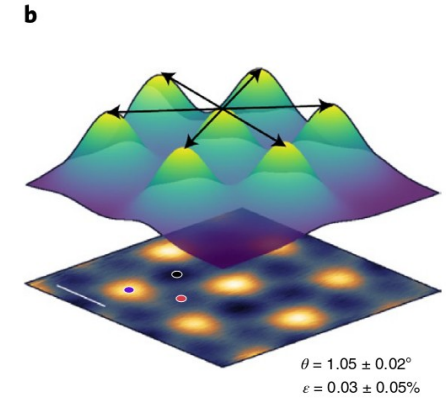
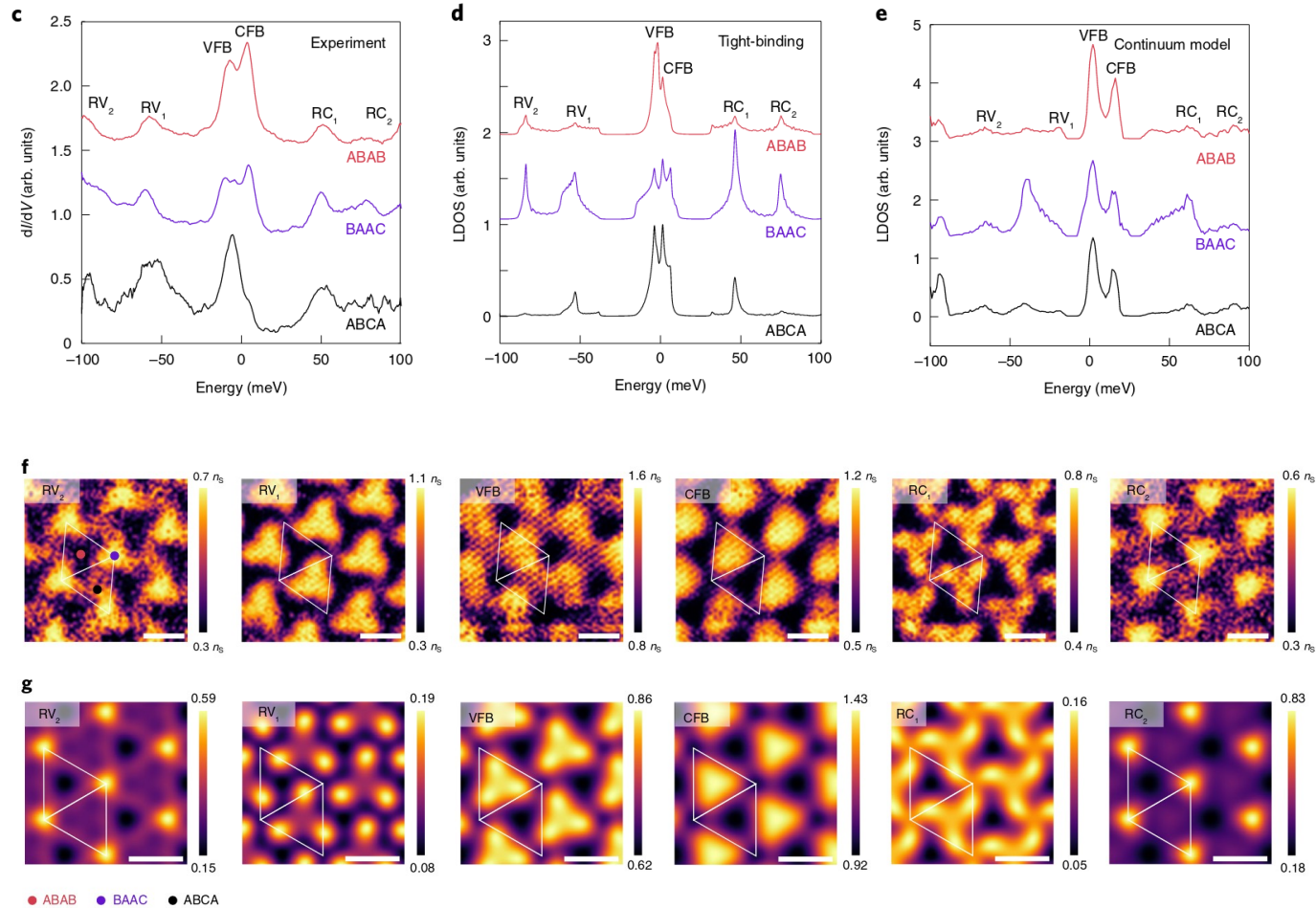
Local Density of States (LDOS)

$$\begin{aligned}
 \mathcal{D}(\mathbf{r}, E) = & \sum_{n, \mathbf{k}} \sum_{\mathbf{G}, \mathbf{G}'} e^{-i(\mathbf{G} - \mathbf{G}') \cdot \mathbf{r}} \delta(E - E_{n, \mathbf{k}}) \\
 & \times \left(\left[U_{n, \mathbf{k}}^{A_4}(\mathbf{G}') \right]^* U_{n, \mathbf{k}}^{A_4}(\mathbf{G}) \right. \\
 & \left. + \left[U_{n, \mathbf{k}}^{B_4}(\mathbf{G}') \right]^* U_{n, \mathbf{k}}^{B_4}(\mathbf{G}) \right)
 \end{aligned}$$

← band index
 (LDOS) projected onto
 4th graphene layer

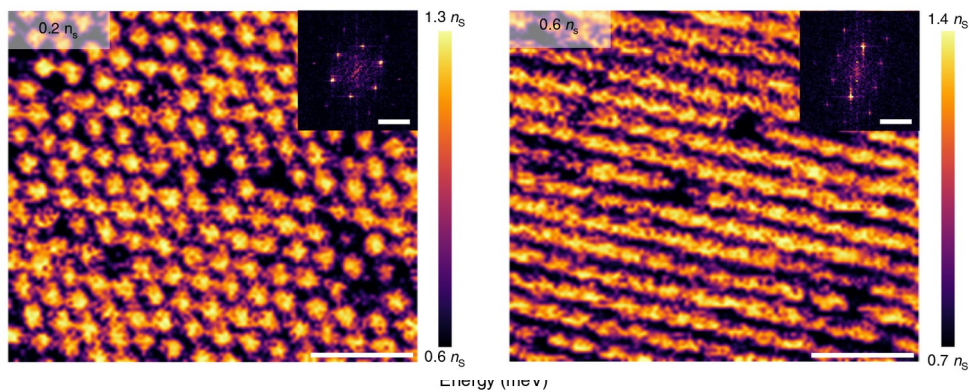
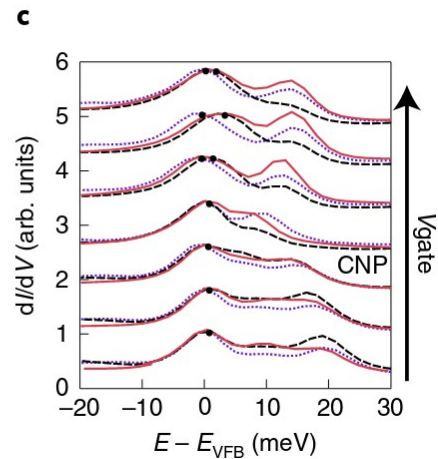
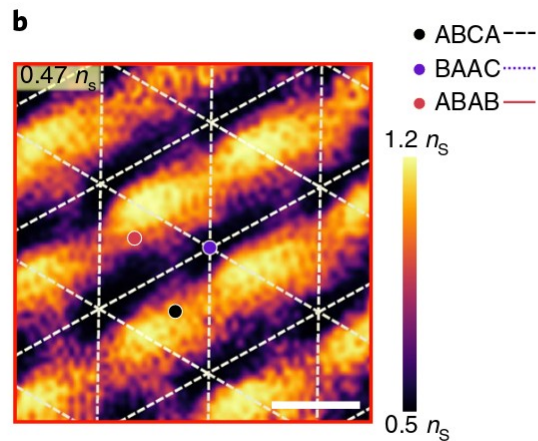
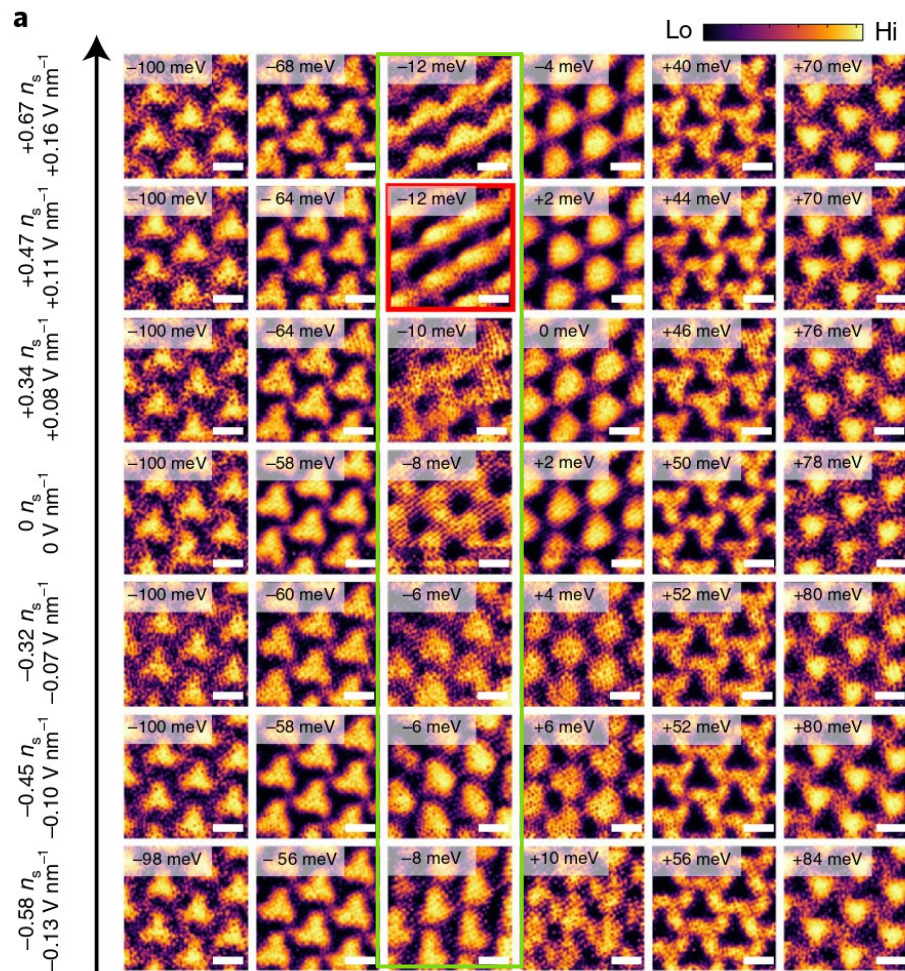


Nematicity in TDBG



Rubio-Verdú, C., et al, 2022. Nature Physics, 18(2), pp.196-202. | R. Samajdar, M. S. Scheurer, S. Turkel, et al. 2D Materials 8, 034005 (2021).

Nematicity in TDBG



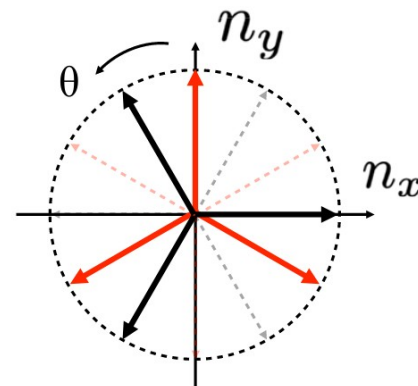
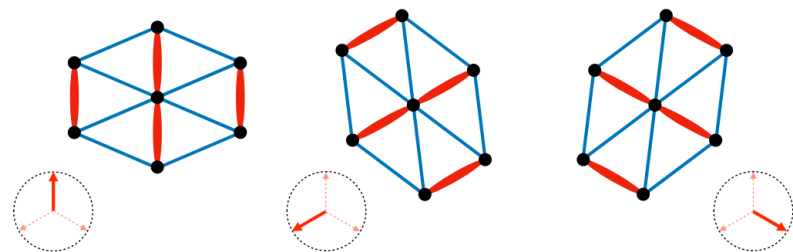
Nematicity in TDBG

spin, layer, sublattice and valley degrees of freedom

$$\mathcal{H}_{\Phi} = \int_{\mathbf{r}} \int_{\Delta \mathbf{r}} \Phi \cdot \phi_{\ell, s, \eta; \ell', s', \eta'}(\mathbf{r}, \Delta \mathbf{r}) \overbrace{c_{\sigma, \ell, s, \eta}^{\dagger}(\mathbf{r} + \Delta \mathbf{r})} c_{\sigma, \ell', s', \eta'}(\mathbf{r}) + \text{H.c.}$$

$$\Phi = \Phi (\cos 2\varphi, \sin 2\varphi)$$

intensity
 nematic director
 angle



- Graphene nematicity (GN);
- Moiré Nematicity (MN);

R. Samajdar, M. S. Scheurer, S. Turkel, et al. 2D Materials 8, 034005 (2021).

Moiré Nematicity (MN)

$$\mathcal{H}_{\Phi}^{MN} = \Phi \cdot \mathbf{f}(\mathbf{k}) c_{\alpha}^{\dagger}(\mathbf{k}) c_{\alpha}(\mathbf{k})$$

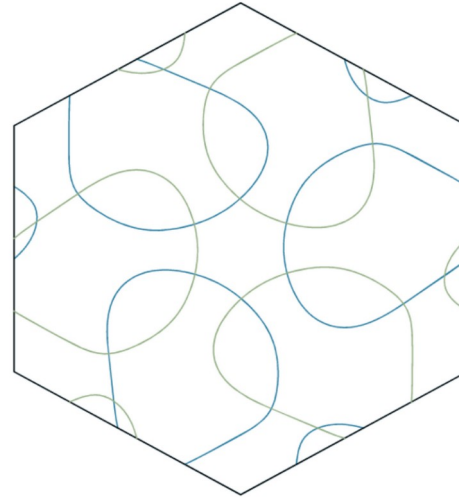
$$E_{n,\mathbf{k}} \rightarrow E_{n,\mathbf{k}} + \Phi \cdot \mathbf{f}(\mathbf{k}),$$

$$\mathbf{f}(\mathbf{k}) = \sum_{m_1, m_2 \in \mathbb{Z}} \phi_{m_1, m_2} \cos(\mathbf{k} \cdot \mathbf{R}_{m_1, m_2})$$

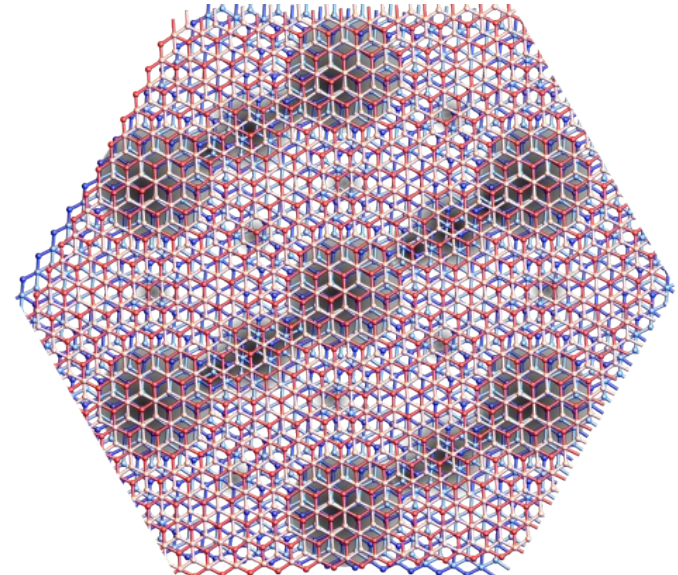
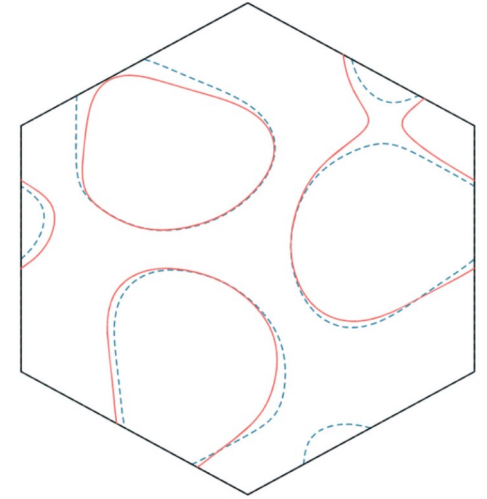
Transforms as E irred. of D_3

- Stronger effects close to van-Hove singularities
- Breaks C3 symmetry at moiré scale.
- Learnable parameters: φ_{MN} and Φ_{MN} .

C_3 symmetric



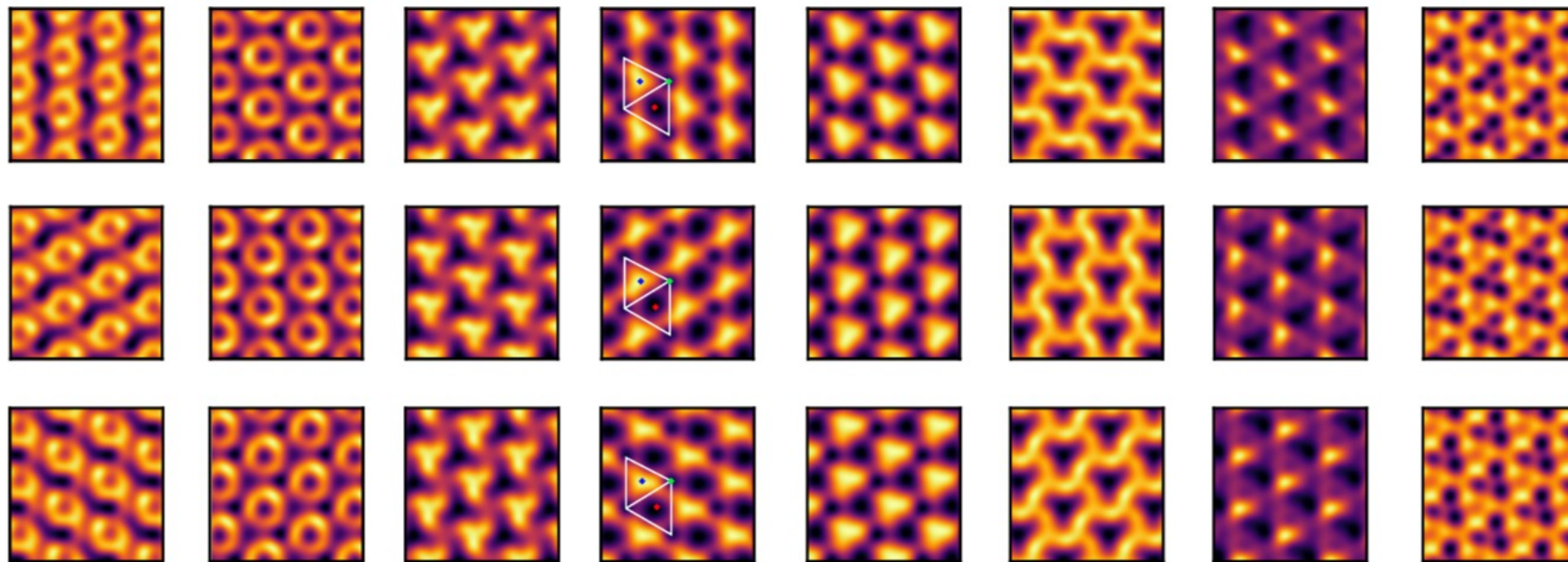
Moiré Nematicity



Moiré Nematicity (MN)

Moiré Nematicity (MN) $\Phi = 5 \text{ meV}$

-85 meV (RV₂) -66 meV (RV₂) -37 meV (RV₁) -15 meV (VFB) +1 meV (CFB) +24 meV (RC₁) +66 meV (RC₂) +77 meV (RC₂)



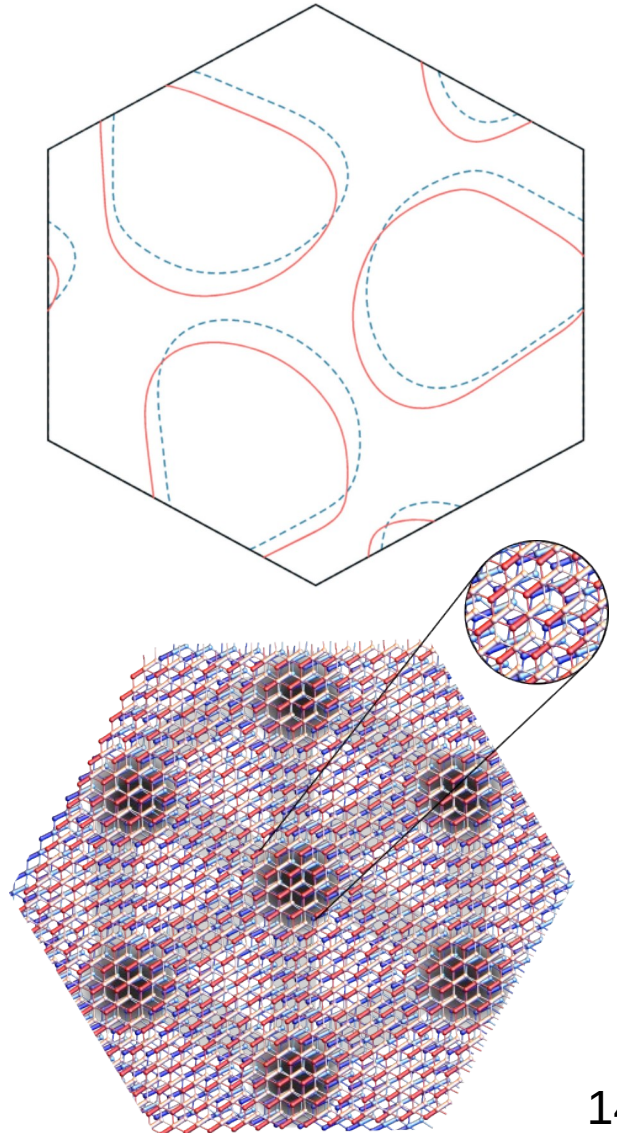
Graphene nematicity (GN)

$$\phi_{l,s;l',s'}(\eta) = \delta_{l,l'} \psi_l \begin{pmatrix} (e^{i\alpha_l \eta \rho_z} \rho_x)_{ss'} \\ \eta (e^{i\alpha_l \eta \rho_z} \rho_y)_{ss'} \end{pmatrix}, \quad \psi_l, \alpha_l \in \mathbb{R}.$$

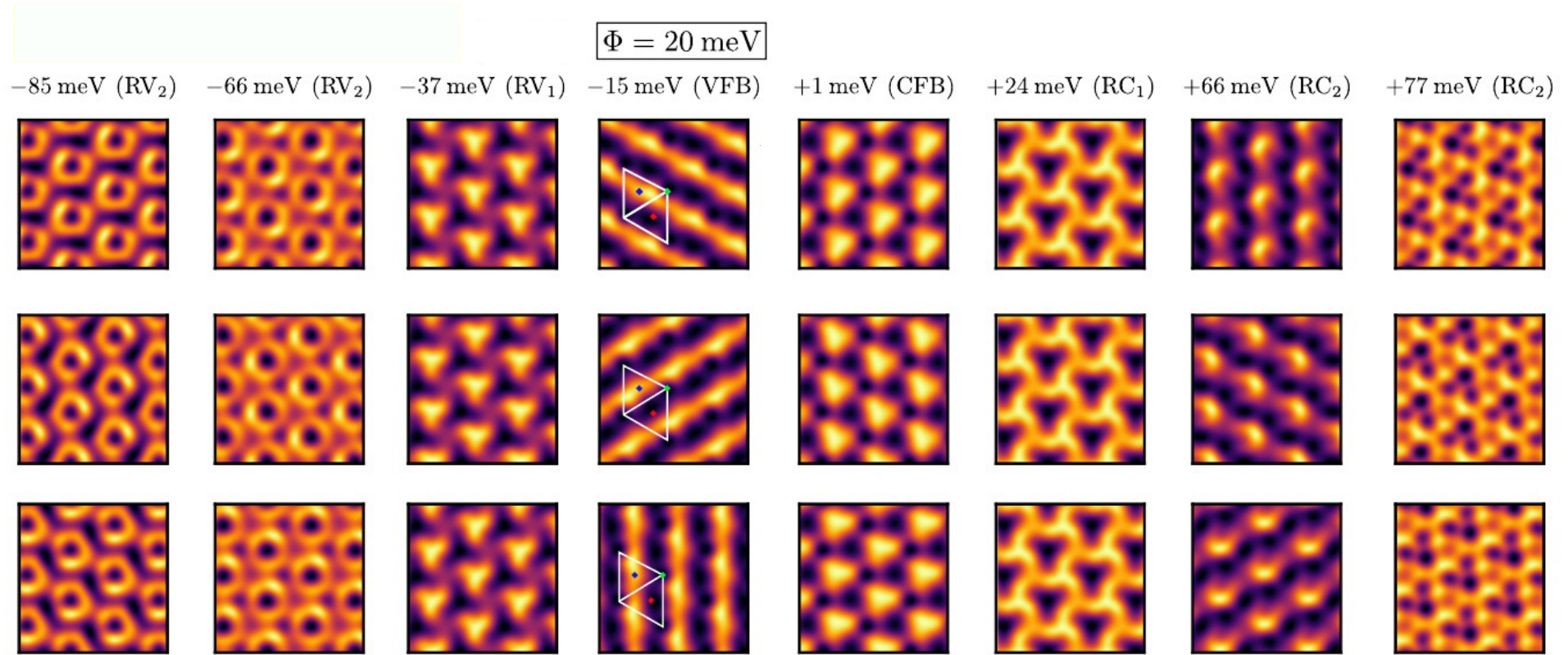
$$H_0(\mathbf{k}) \rightarrow H_0(\mathbf{k}) + \mathbf{\Phi} \cdot \phi_{s,s'} = \begin{pmatrix} 0 & -\hbar\nu k_- + \mathbf{\Phi} \cdot M_\alpha \\ -\hbar\nu k_+ + \mathbf{\Phi} \cdot M_\alpha^\dagger & d \end{pmatrix} \quad M_{\alpha_l} = (1, -i\eta) e^{i\alpha_l \eta}.$$

$$\mathcal{H}_l = -\hbar\nu \left[R(\pm\theta/2) \left(\mathbf{k} - \mathbf{K}_\eta^l \right) \right] \cdot (\eta\rho_x, \rho_y) + \mathbf{\Phi} \cdot (\rho_x, \eta\rho_y)$$

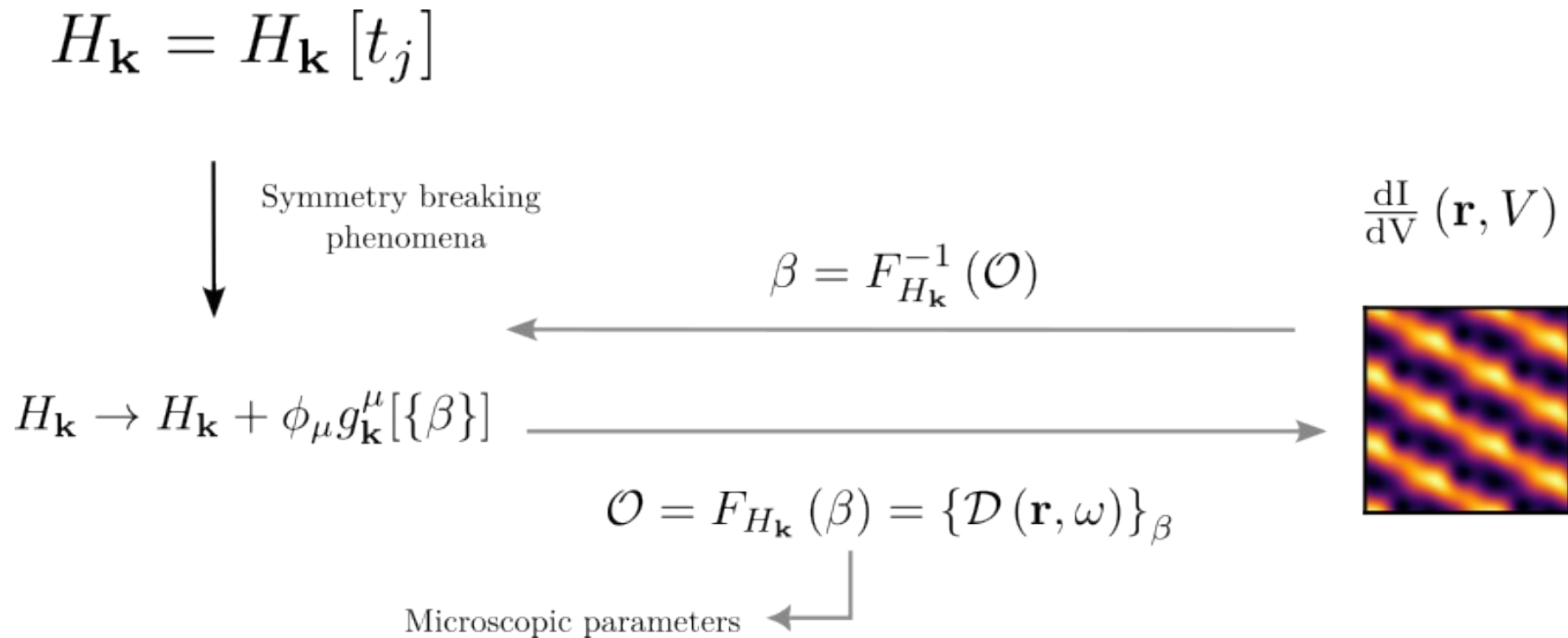
- Shifts Dirac cones.
- Breaks C3 symmetry at atomic scale;
- Learnable parameters: $\varphi_{GN}, \Phi_{GN}, \alpha, \dots$



Graphene nematicity (GN)

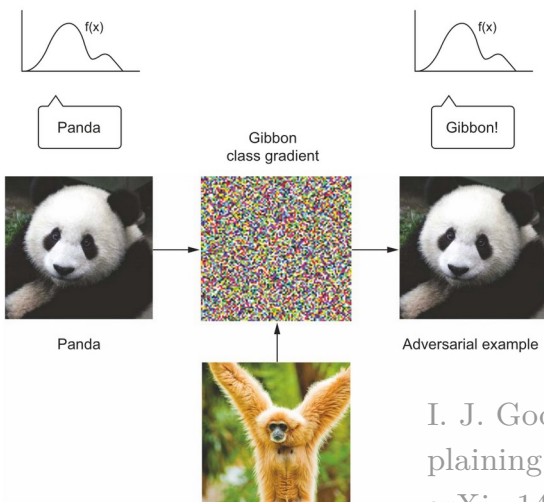
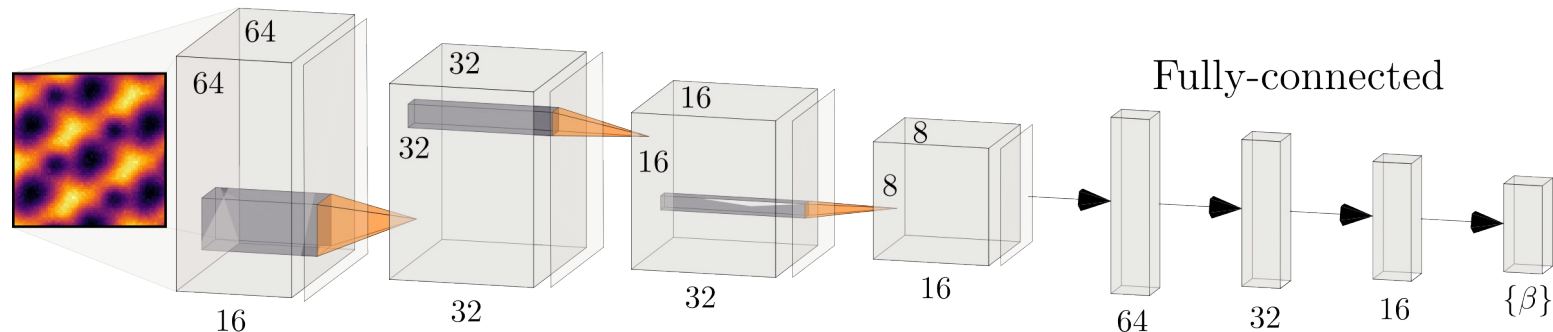


Solving the Inverse Problem



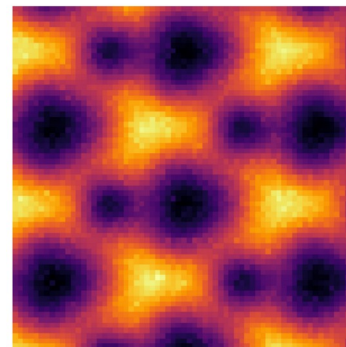
DL Architecture

Convolution and max pooling



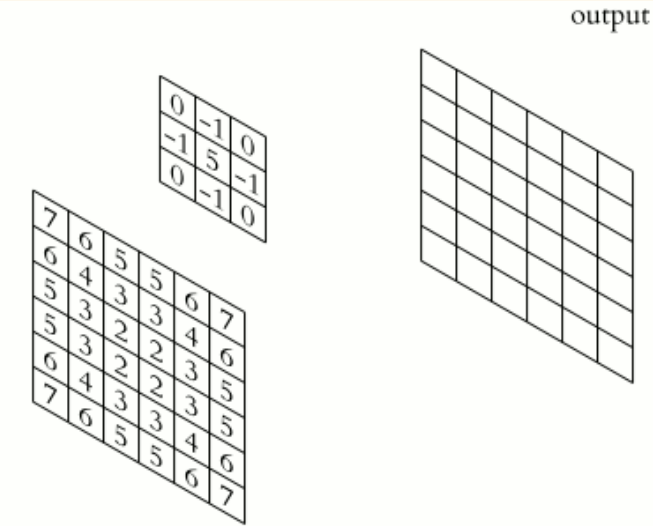
$$p_g(z) = \frac{\exp(-(z-\mu)^2/2\sigma^2)}{\sqrt{2\pi\sigma^2}}$$

$$\sigma \approx 0.31, \mu = 0$$



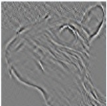
I. J. Goodfellow, J. Shlens, and C. Szegedy, "Explaining and Harnessing Adversarial Examples," (2015), arXiv:1412.6572 [cs, stat].

CNN's learning procedure

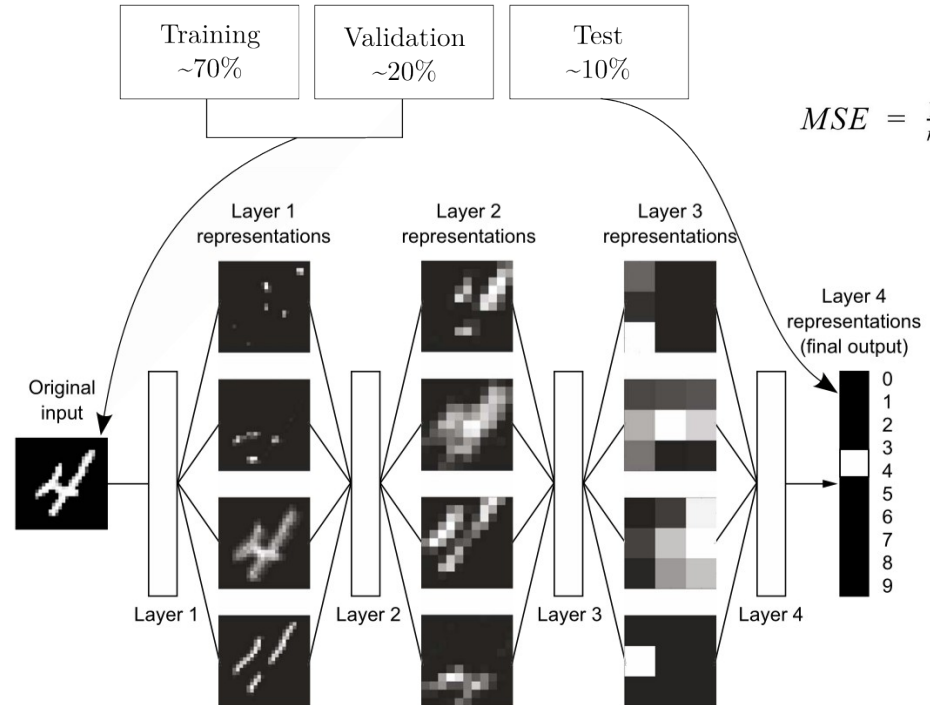
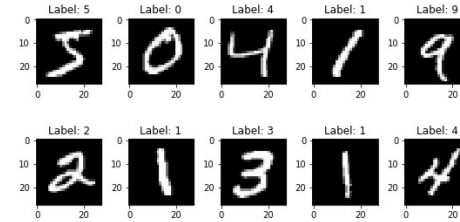


input

@[https://en.wikipedia.org/wiki/Kernel_\(image_processing\)](https://en.wikipedia.org/wiki/Kernel_(image_processing))

Operation	Kernel ω	Image result $g(x,y)$
Identity	$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$	
Ridge detection	$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 4 & -1 \\ -1 & -1 & -1 \end{bmatrix}$	
	$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$	

output

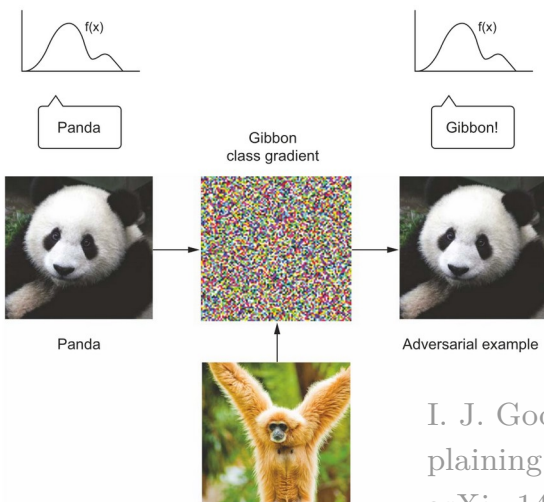
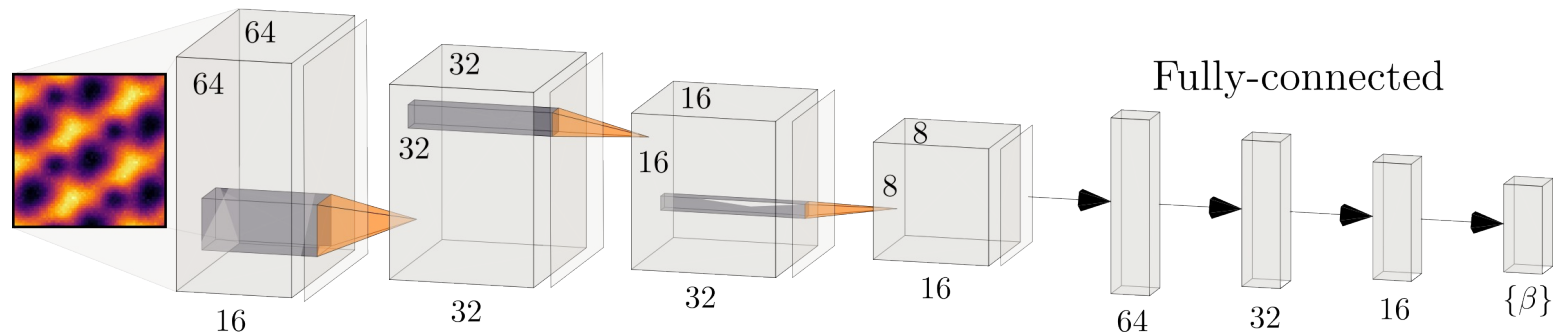


$$MSE = \frac{1}{n} \sum (y - \hat{y})^2$$

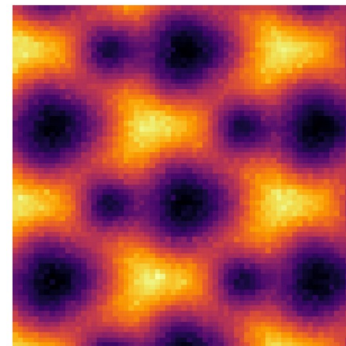
The square of the difference between actual and predicted

DL Architecture

Convolution and max pooling



$$p_g(z) = \frac{\exp(-(z-\mu)^2/2\sigma^2)}{\sqrt{2\pi\sigma^2}}$$
$$\sigma \approx 0.31, \mu = 0$$



I. J. Goodfellow, J. Shlens, and C. Szegedy, "Explaining and Harnessing Adversarial Examples," (2015), arXiv:1412.6572 [cs, stat].

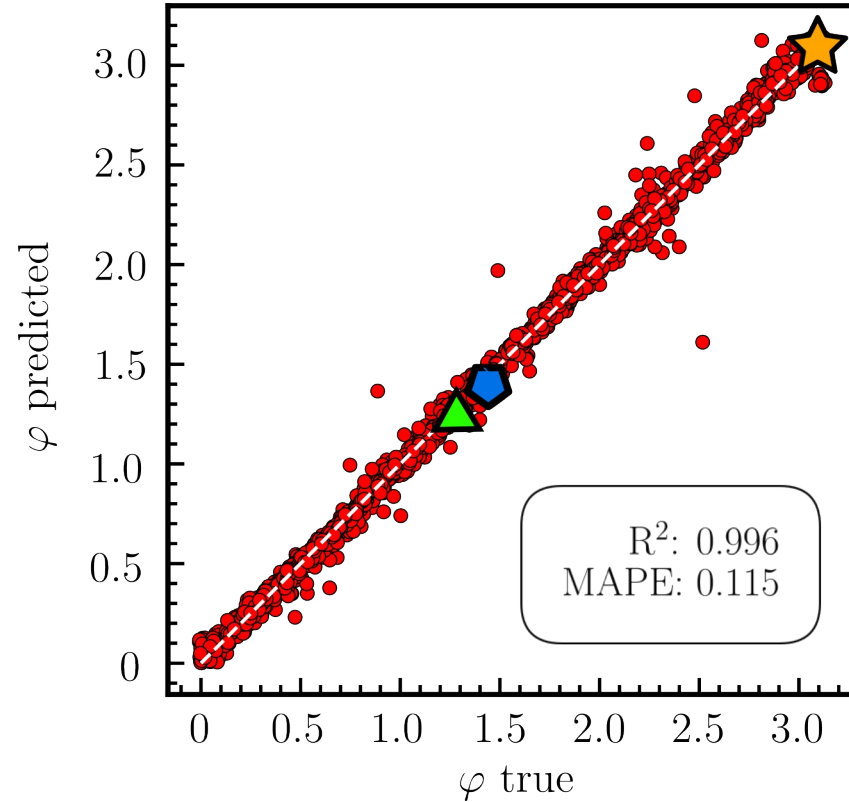
Orientation of Nematic Director

$$\Phi = \Phi (\cos 2\varphi, \sin 2\varphi)$$

intensity
nematic director angle

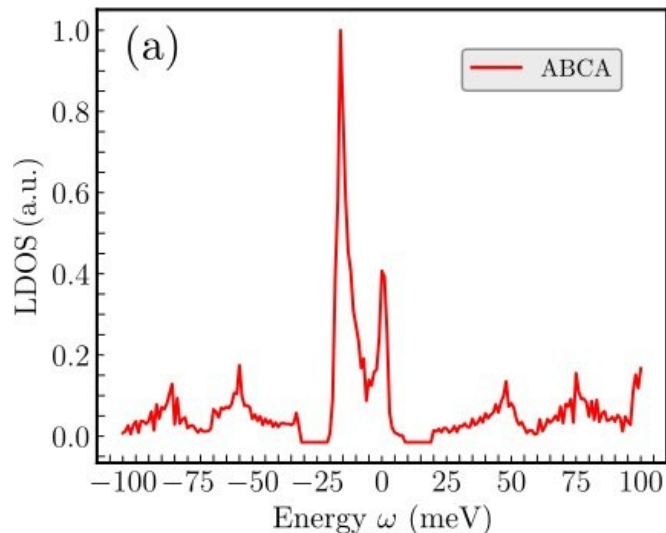
$$\varphi_i = \frac{1}{2} \arctan2(\sin 2\varphi, \cos 2\varphi) \Rightarrow$$

$$\Rightarrow \varphi = \begin{cases} \varphi_i, & \text{sgn}\varphi_i = 1 \\ \varphi_i + \pi, & \text{sgn}\varphi_i = -1 \end{cases}$$

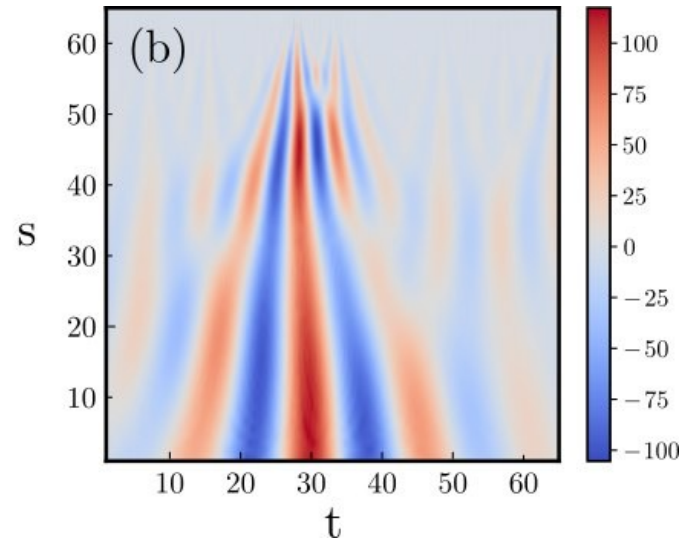


$$\mathcal{D}_{\text{th}} \rightarrow \phi_{\text{MN}}, \phi_{\text{GN}} \in [0.001, 0.1] \text{ eV}, \varphi = \varphi_{\text{MN}} = \varphi_{\text{GN}} \in [0, \pi]$$

More data: Adding $\mathcal{D}_{\mathbf{r}_0}(\omega)$ channels



CWT
→
with Morlet
wavelets

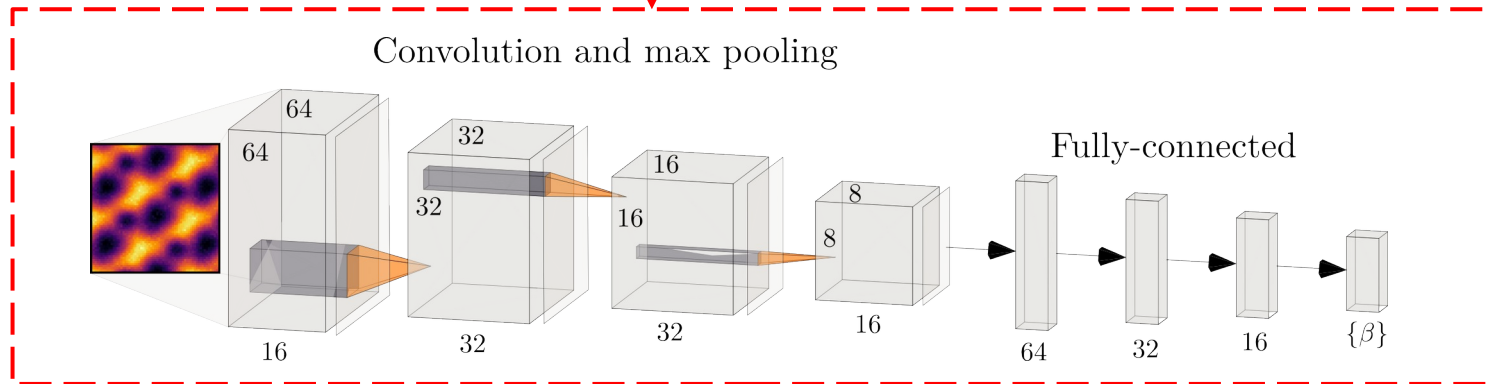
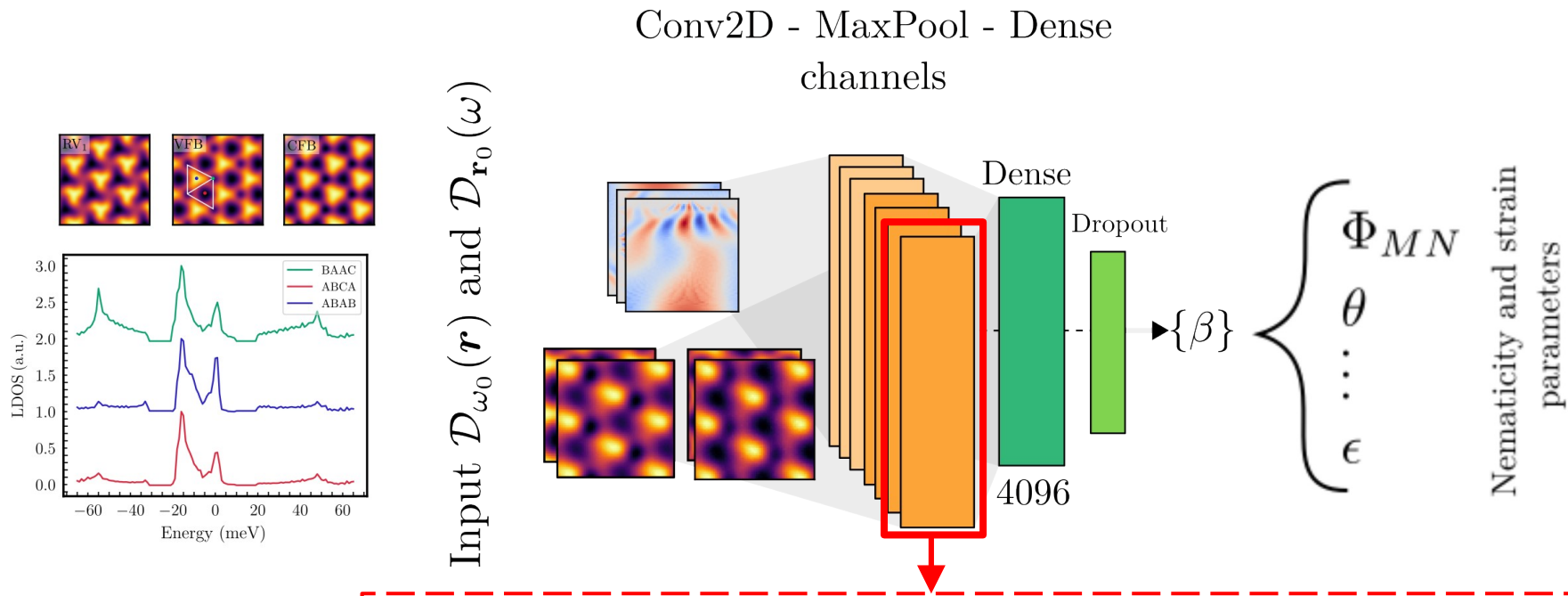


with gaussian noise

$$p_G(z) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{(z-\mu)^2}{2\sigma^2}}$$

$$\sigma = 0.31, \mu = \mathcal{D}(\omega)$$

New architecture



Back to the nematic microscopic form

$$E_{n,\mathbf{k}} \rightarrow E_{n,\mathbf{k}} + \boldsymbol{\Phi} \cdot \mathbf{f}(\mathbf{k}),$$
$$\mathbf{f}(\mathbf{k}) = \sum_{m_1, m_2 \in \mathbb{Z}} \phi_{m_1, m_2} \cos(\mathbf{k} \cdot \mathbf{R}_{m_1, m_2})$$

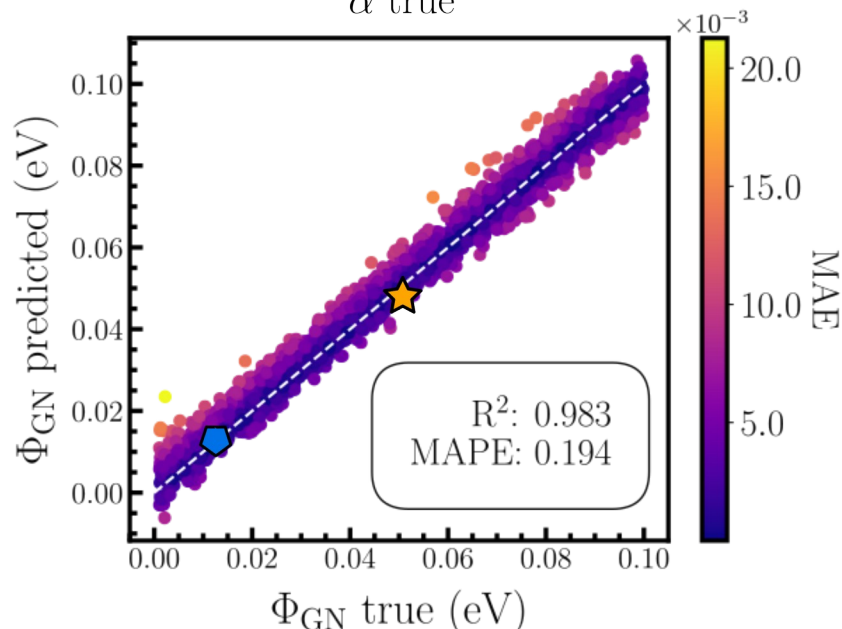
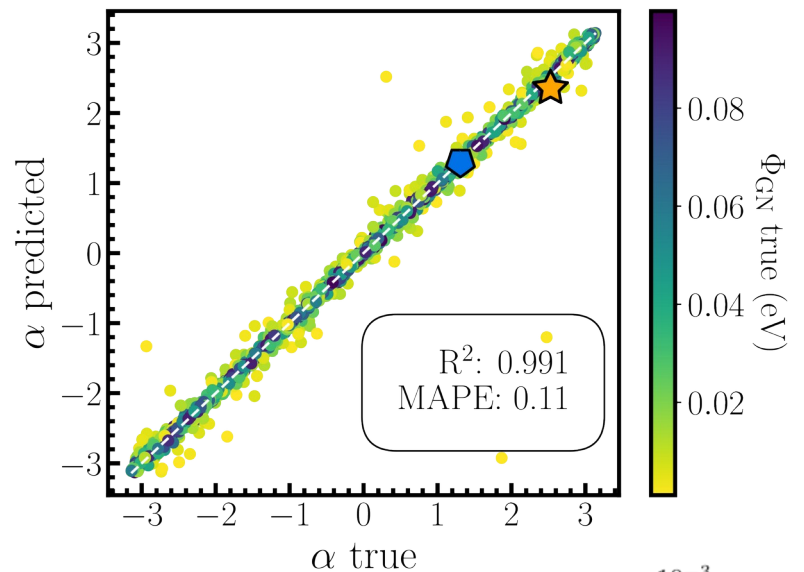
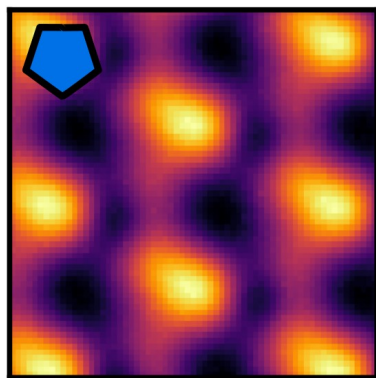
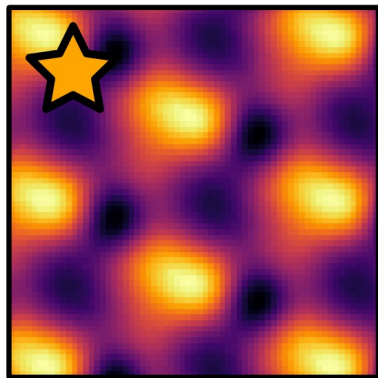
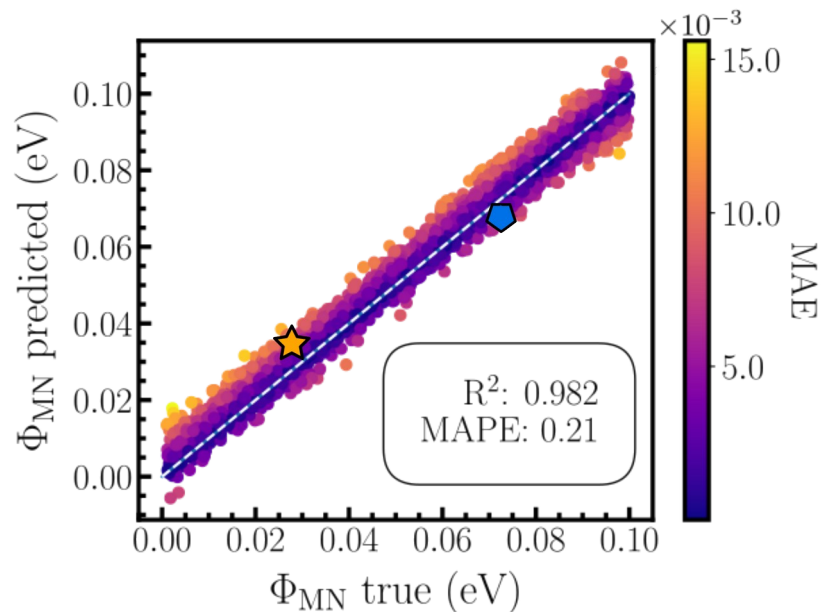
$$H_0(\mathbf{k}) \rightarrow H_0(\mathbf{k}) + \boldsymbol{\Phi} \cdot \boldsymbol{\phi}_{s,s'} = \begin{pmatrix} 0 & -\hbar\nu k_- + \boldsymbol{\Phi} \cdot M_\alpha \\ -\hbar\nu k_+ + \boldsymbol{\Phi} \cdot M_\alpha^\dagger & d \end{pmatrix}$$

$$M_{\alpha_l} = (1, -i\eta) e^{i\alpha_l \eta}.$$

Learn

$$\beta = \{\Phi_{\text{MN}}, \Phi_{\text{GN}}, \alpha\}$$

Form of nematicity



Including strain

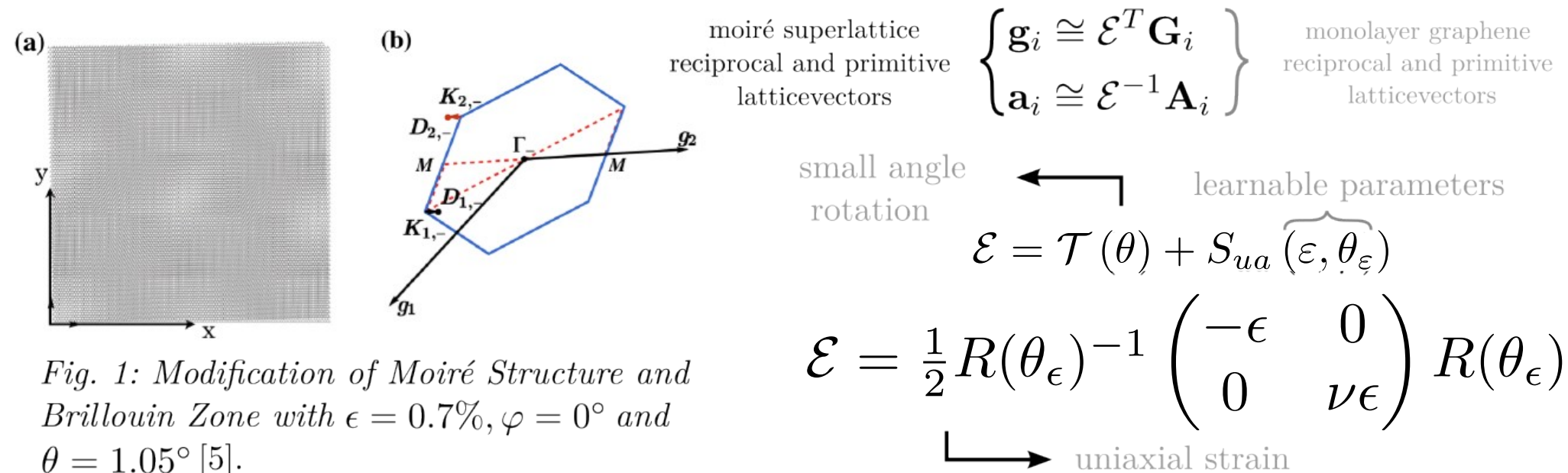


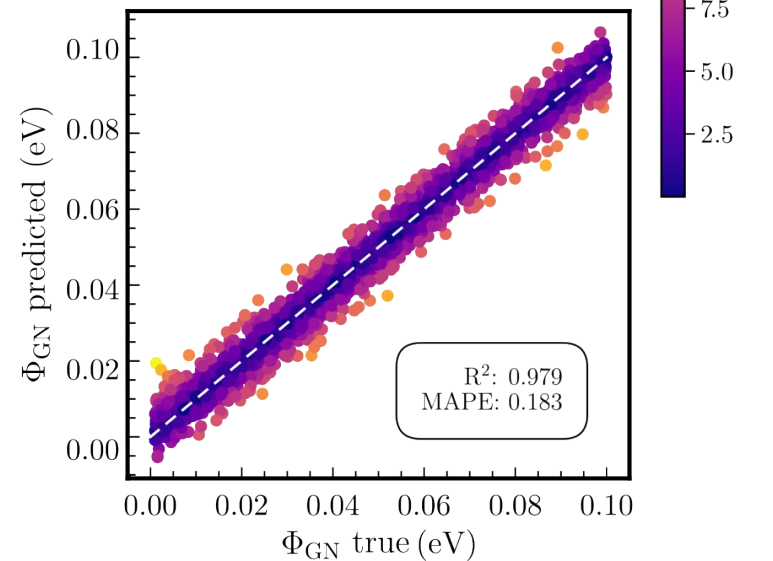
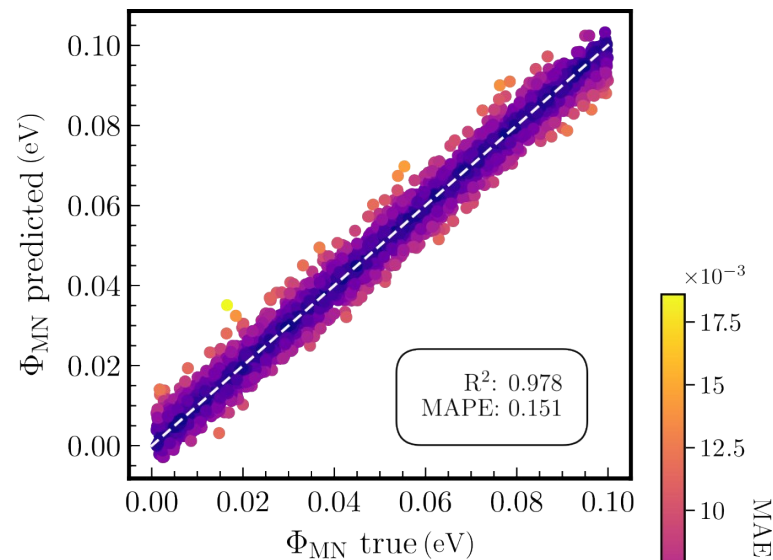
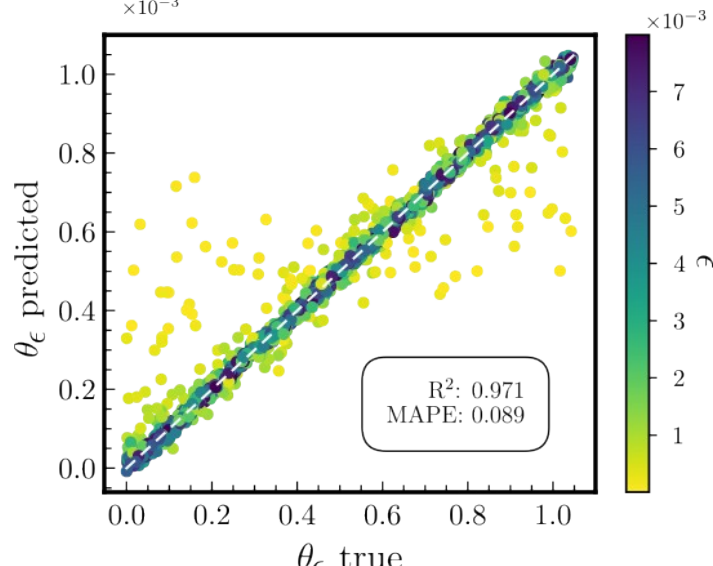
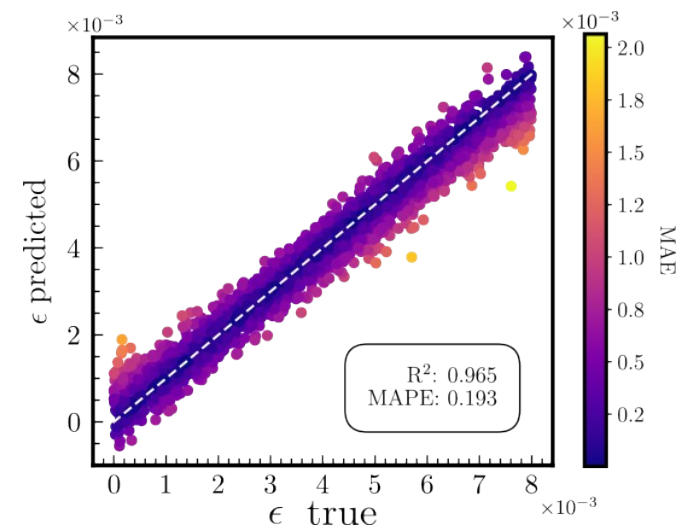
Fig. 1: Modification of Moiré Structure and Brillouin Zone with $\epsilon = 0.7\%$, $\varphi = 0^\circ$ and $\theta = 1.05^\circ$ [5].

Bi, Z., Yuan, N.F. and Fu, L., 2019. Physical Review B, 100(3), p.035448.

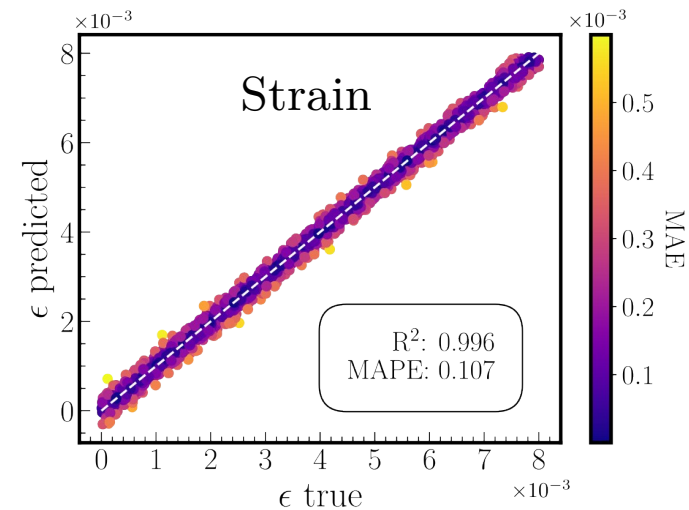
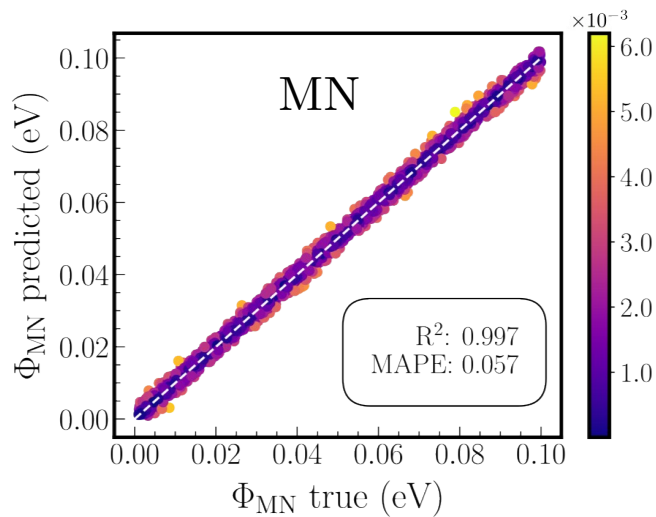
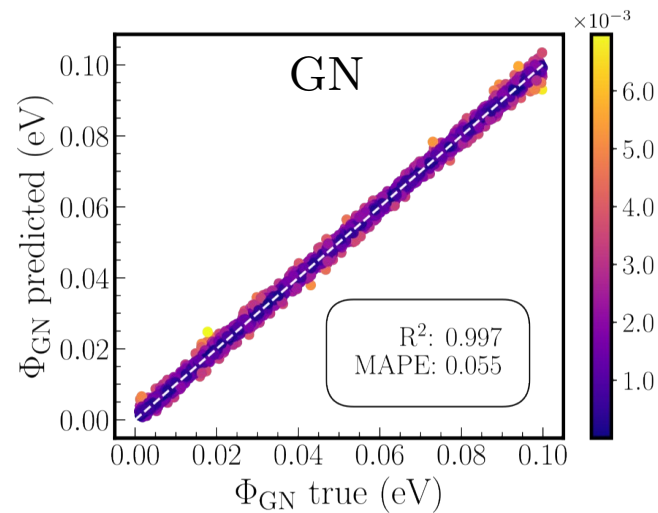
Learn

$$\Phi_{MN}, \Phi_{GN}, \theta_\epsilon, \epsilon$$

Including strain

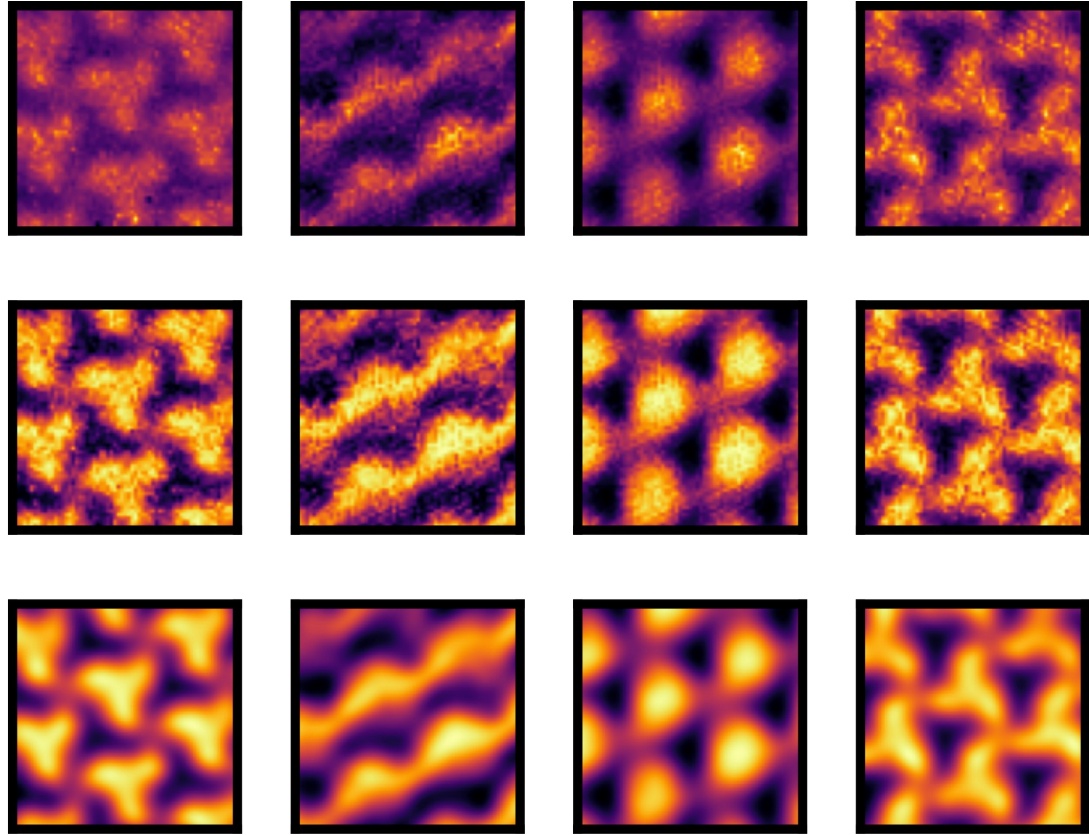
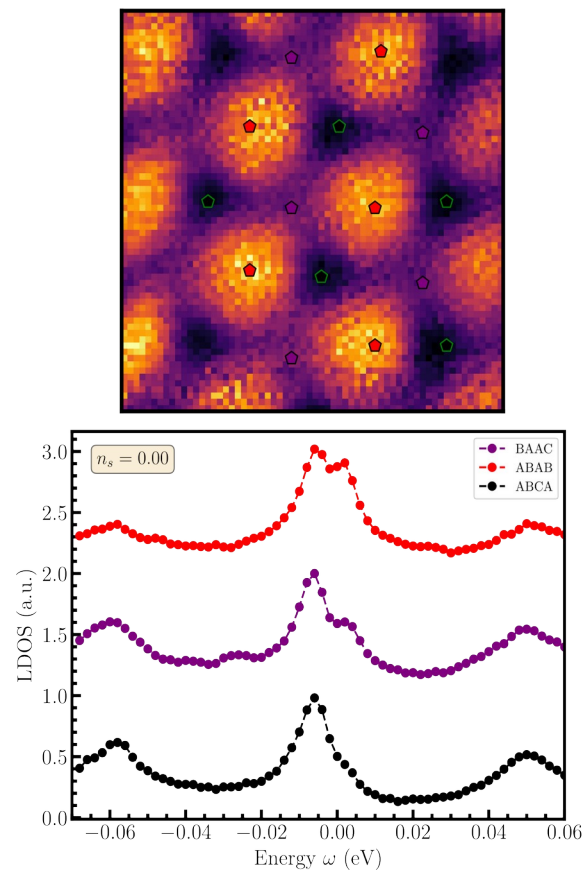


Including strain, fixed θ_ε

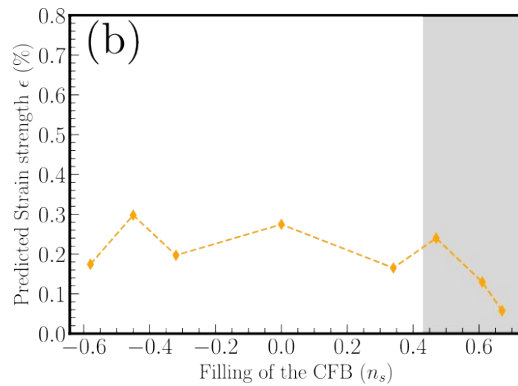
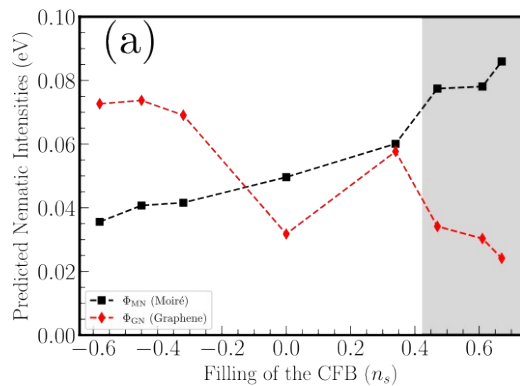


- Can we apply the trained CNN directly to experimental data?

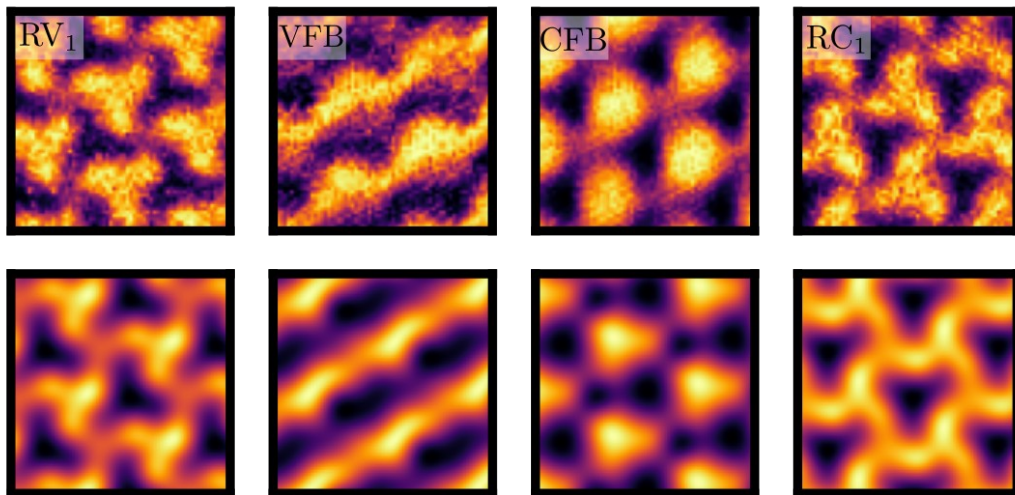
Preprocessing of D_{exp}



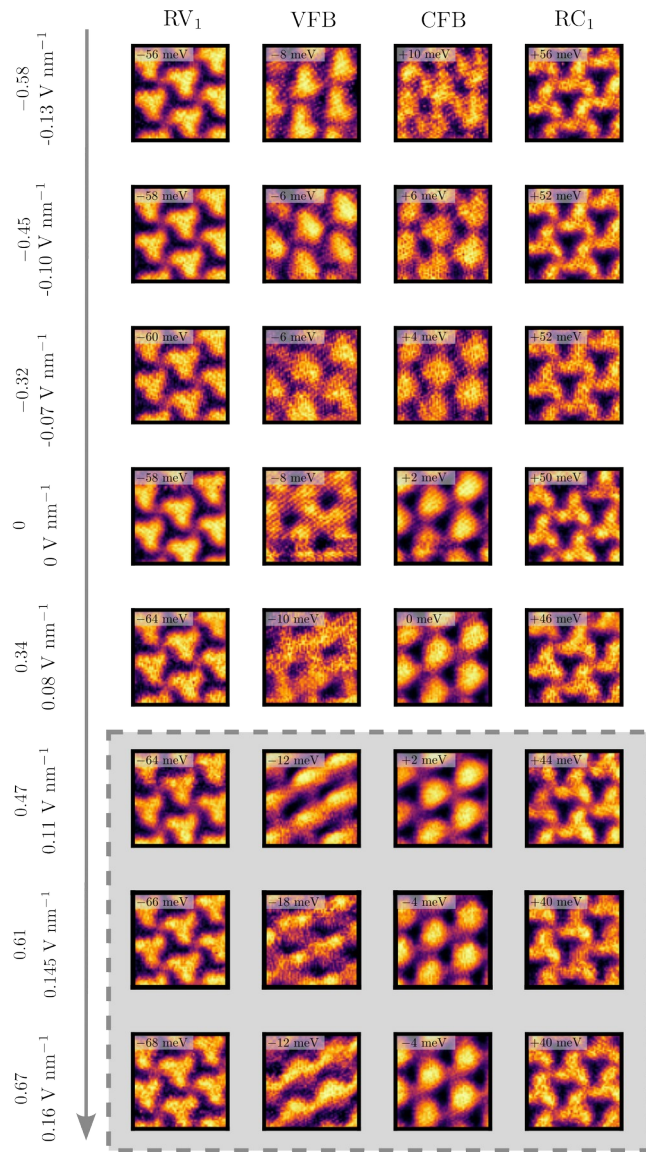
Predictions on D_{exp}



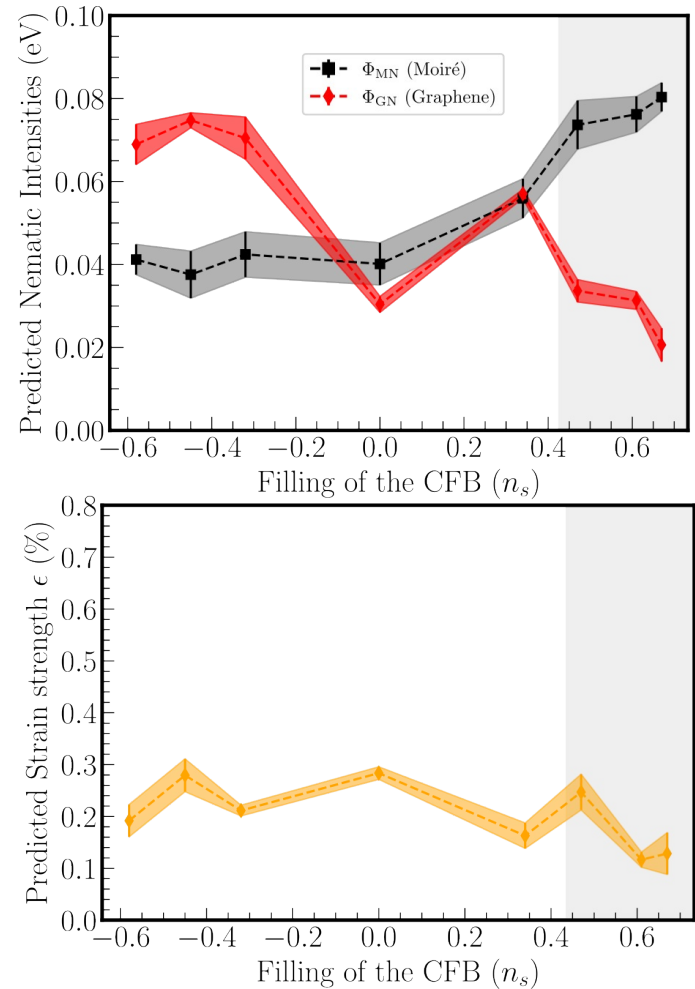
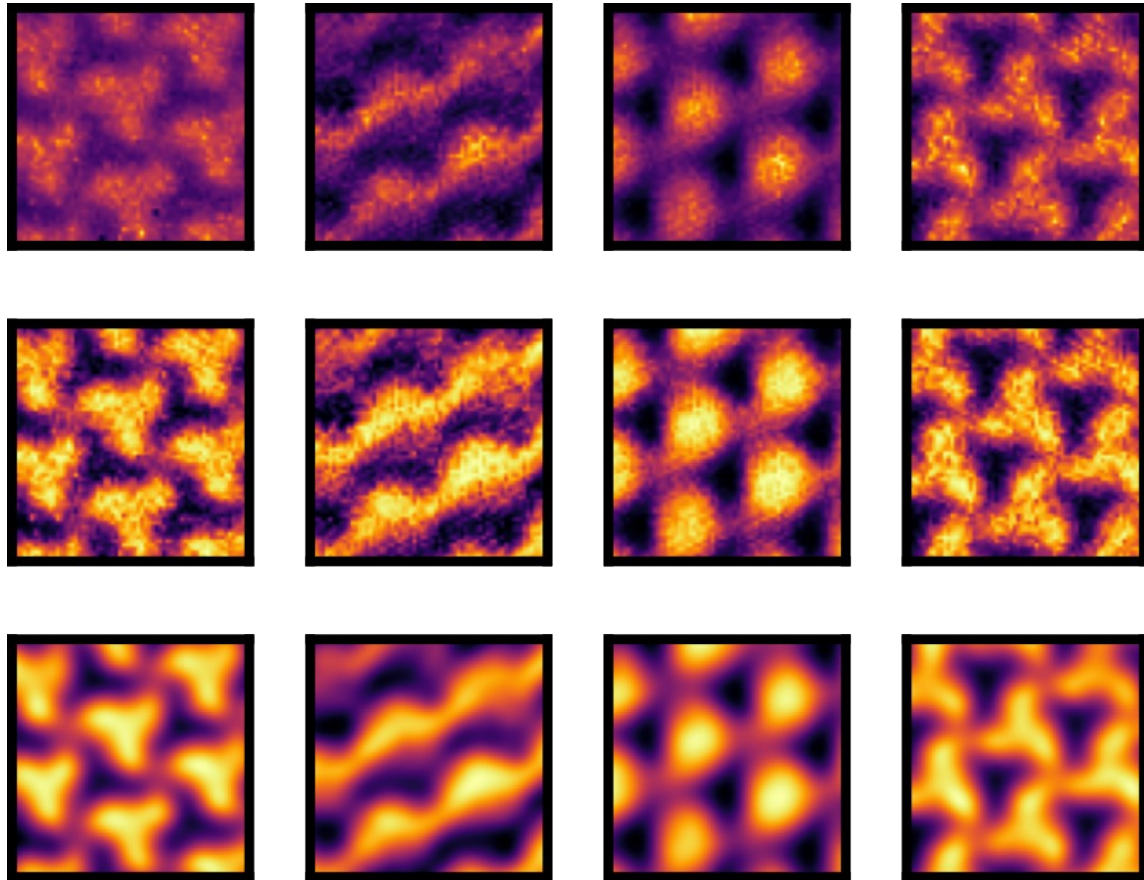
(c)



Filling of the CFB (n_s)
Displacement Field



Prediction on D_{exp} (Preprocessing stage)

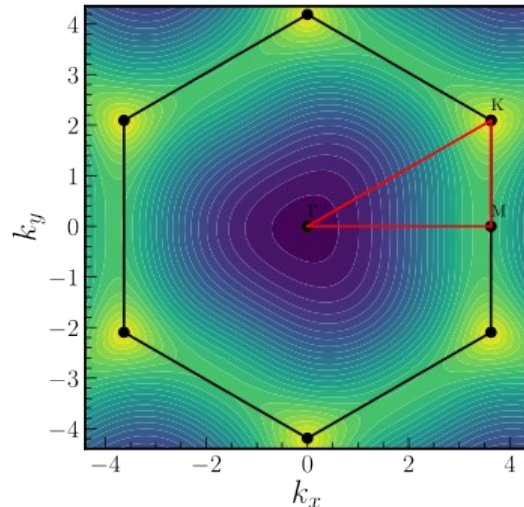
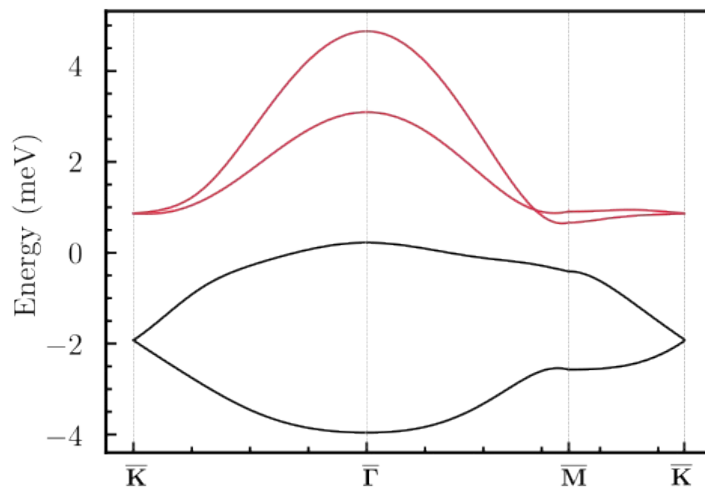
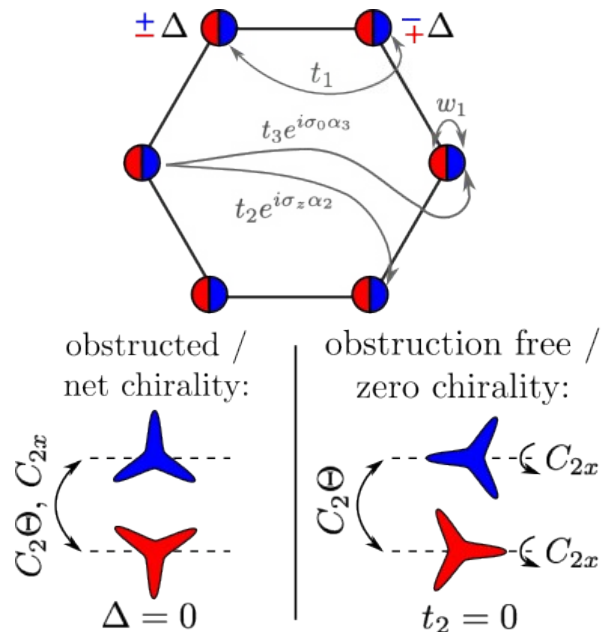


Beyond TDBG and the continuum model

Minimal tight-binding model

(four bands per spin & valley)

$$H = \sum_{\vec{k}} \sum_{l=1,2} c_{\vec{k},l}^\dagger \vec{g}_{\vec{k}}^l \cdot \vec{\rho} c_{\vec{k},l}^\dagger + w_1 \sum_{\vec{k}} \left(e^{-i\theta_w} c_{\vec{k},1}^\dagger c_{\vec{k},2}^\dagger + \text{H.c.} \right),$$



Beyond TDBG and the continuum model

Nematic order

$$\Delta h_{\mathbf{k}} = \boldsymbol{\phi} \cdot \mathbf{g}_{\mathbf{k}} = \phi_1 g_{1,\mathbf{k}} + \phi_2 g_{2,\mathbf{k}}$$

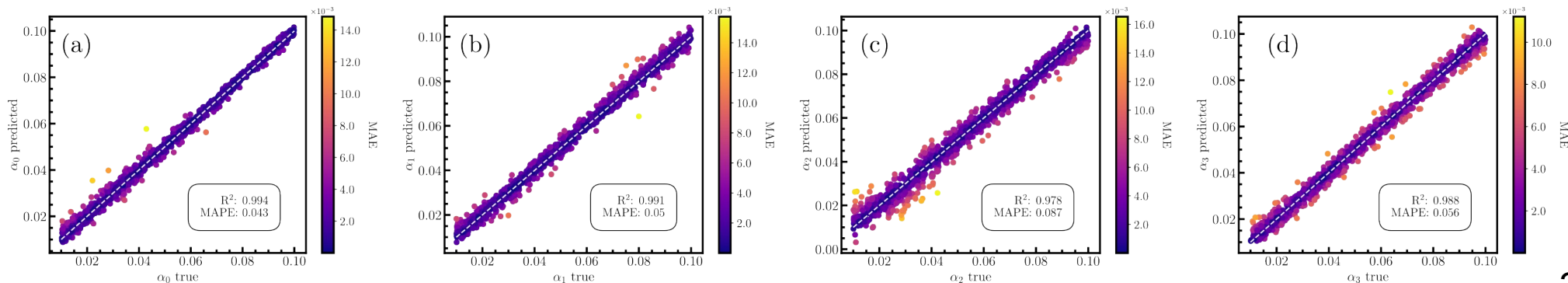
$$\mathbf{g}_{\mathbf{k}} \equiv \begin{pmatrix} g_{1,\mathbf{k}} \\ g_{2,\mathbf{k}} \end{pmatrix} = \alpha_0 \rho_0 \sigma_0 \begin{pmatrix} f_{1,\mathbf{k}} \\ f_{2,\mathbf{k}} \end{pmatrix} + \alpha_1 \rho_0 \sigma_x \begin{pmatrix} f_{1,\mathbf{k}} \\ f_{2,\mathbf{k}} \end{pmatrix} + \alpha_2 \rho_0 \sigma_y \begin{pmatrix} -f_{2,\mathbf{k}} \\ f_{1,\mathbf{k}} \end{pmatrix} + \alpha_3 \rho_z \sigma_z \begin{pmatrix} -f_{2,\mathbf{k}} \\ f_{1,\mathbf{k}} \end{pmatrix}$$

Learn

$$\alpha_0, \alpha_1, \alpha_2, \alpha_3$$

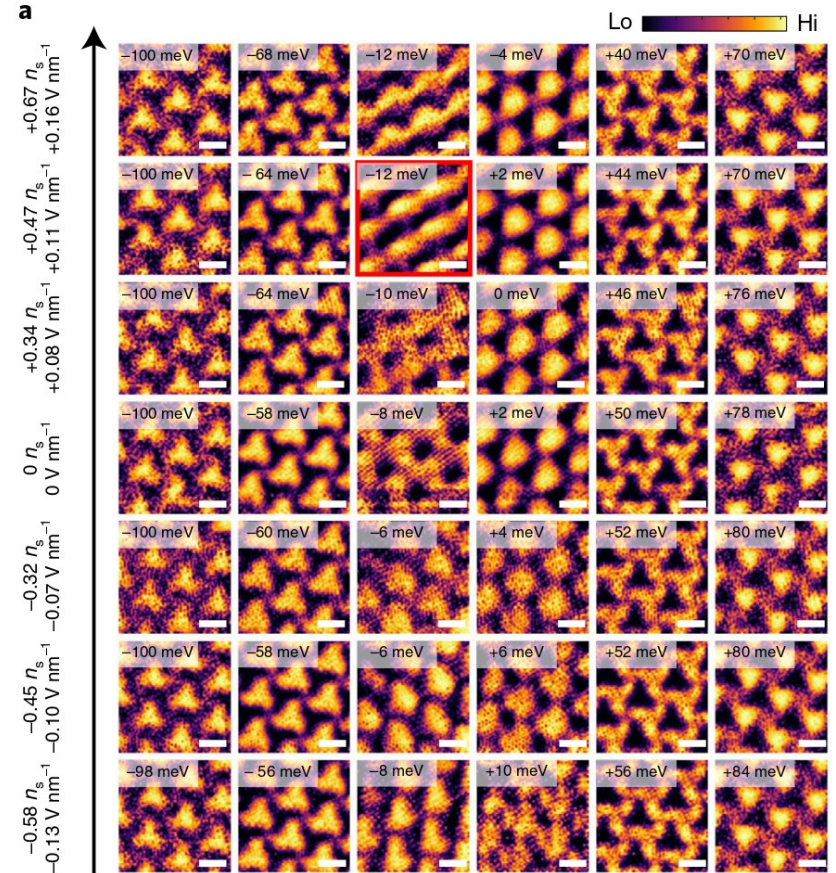
if we focus on leading lattice harmonic

$$\mathbf{f}_{\mathbf{k}} = \frac{8}{3} \left(\cos k_y - \cos \frac{\sqrt{3}k_x}{2} \cos \frac{k_y}{2}, \sqrt{3} \sin \frac{\sqrt{3}k_x}{2} \sin \frac{k_y}{2} \right)^T$$



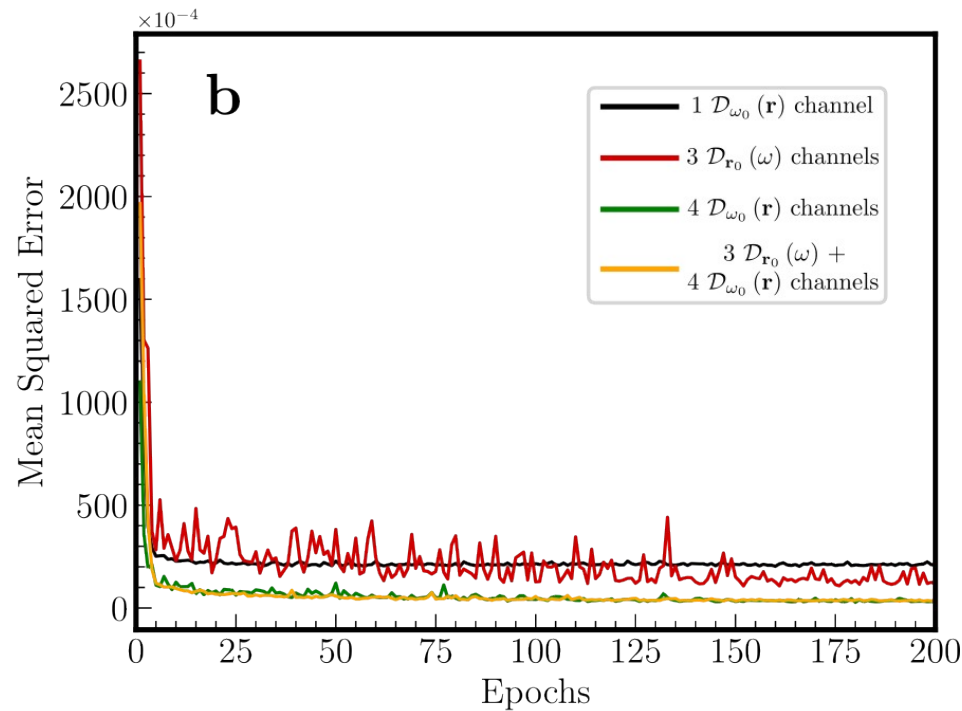
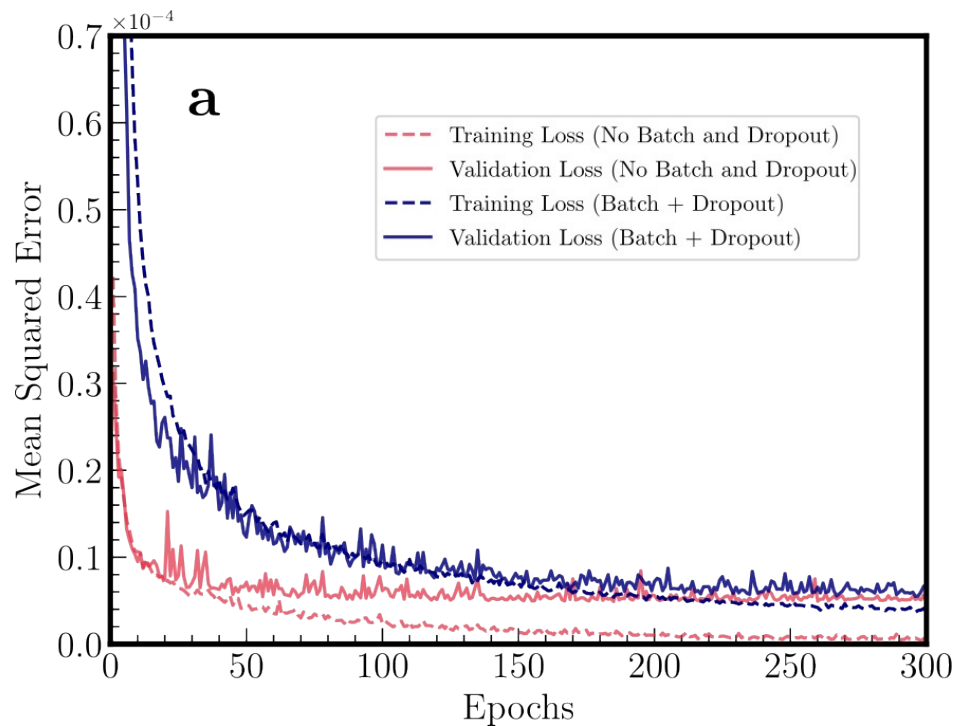
Overview and Outlook

- CNN's can distinguish nematicity and strain influence.
- Possible crossover between GN and MN for TDBG;
- Applicability beyond the continuum model and TDBG;
- Microscopic parameter learning from data (kind of Hamiltonian Learning);
- Automated analysis of larger datasets;
- Extensible to a variety of experimental setups? Comparison between different theoretical models?

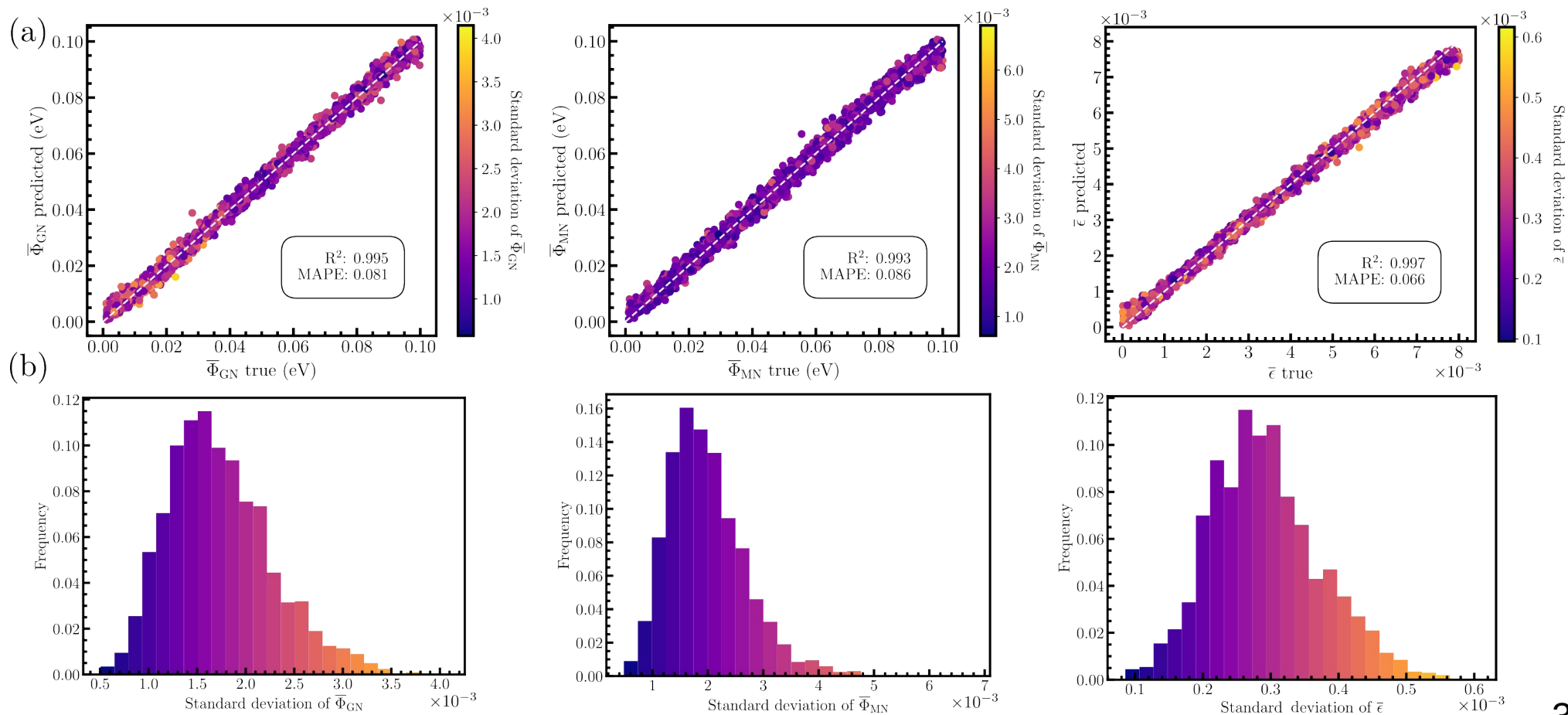


Backup slides

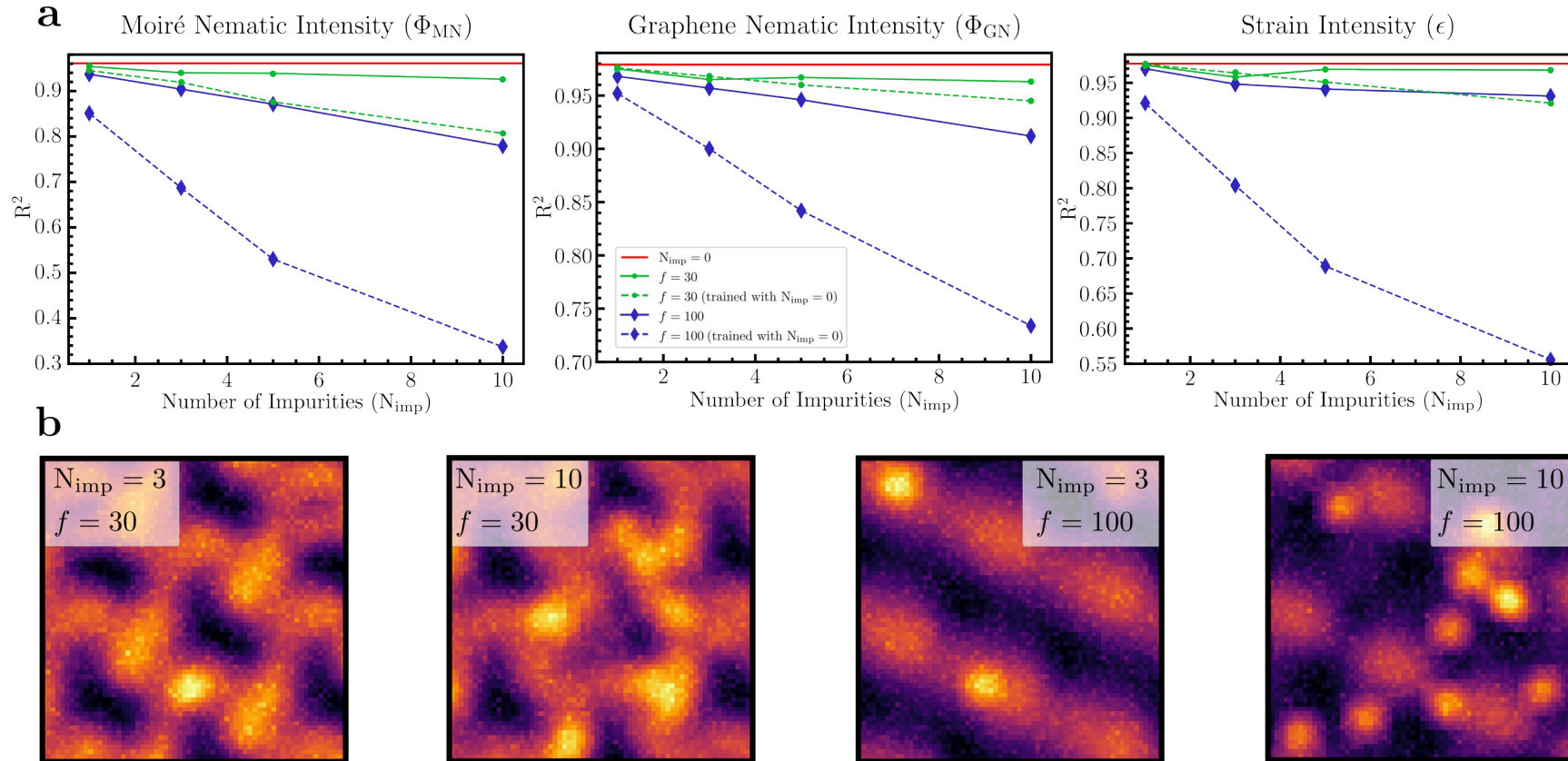
Number of channels and overfitting



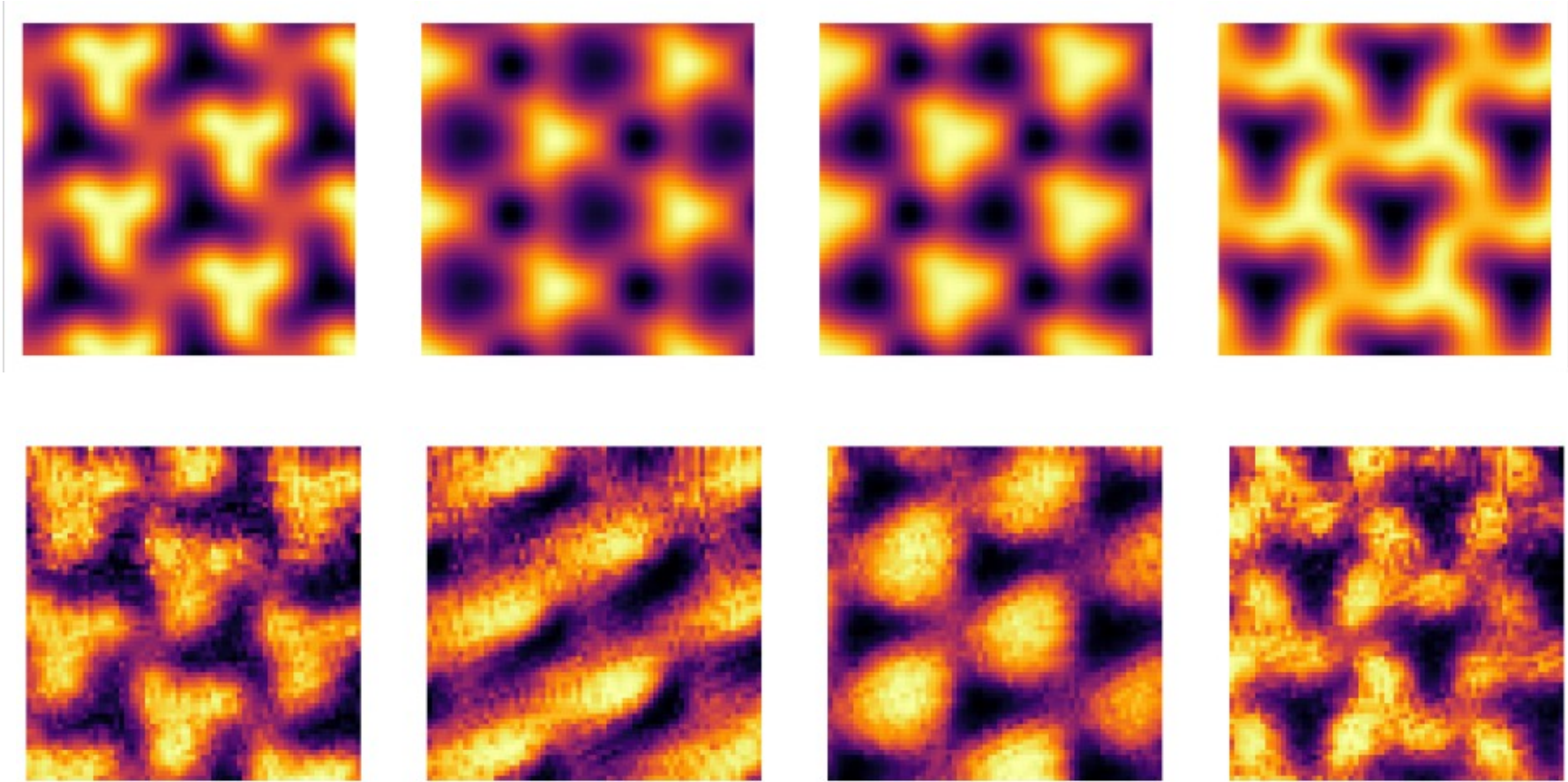
Average over different runs



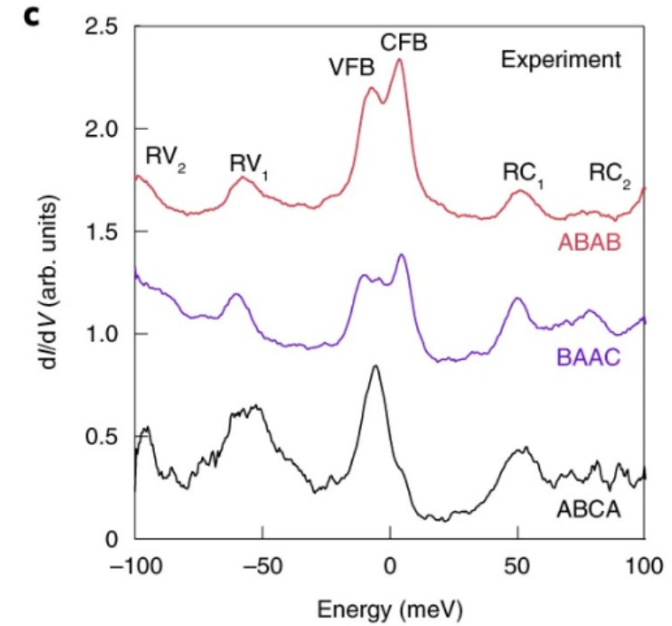
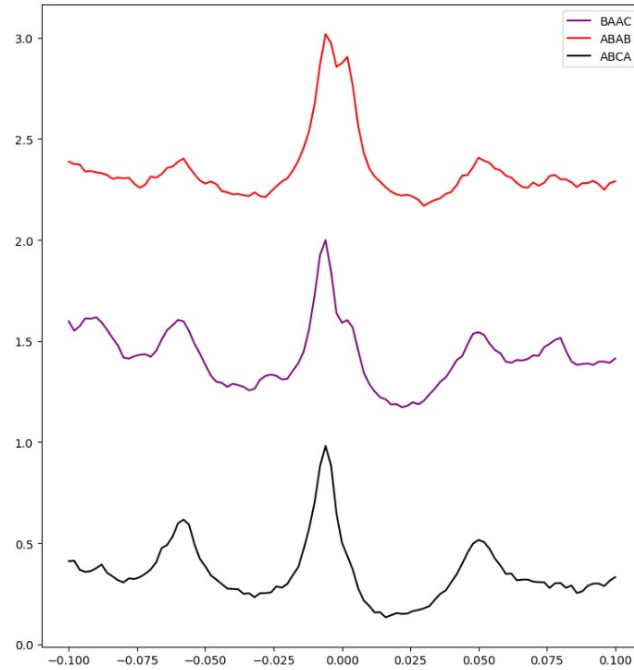
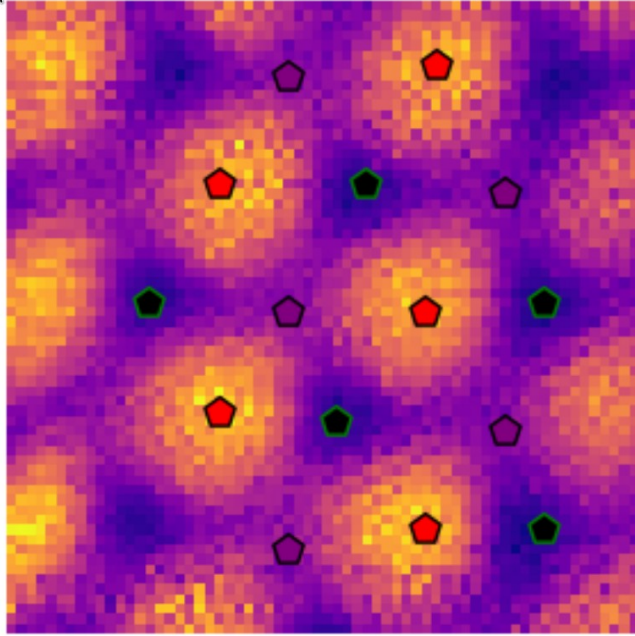
Robustness regarding impurities



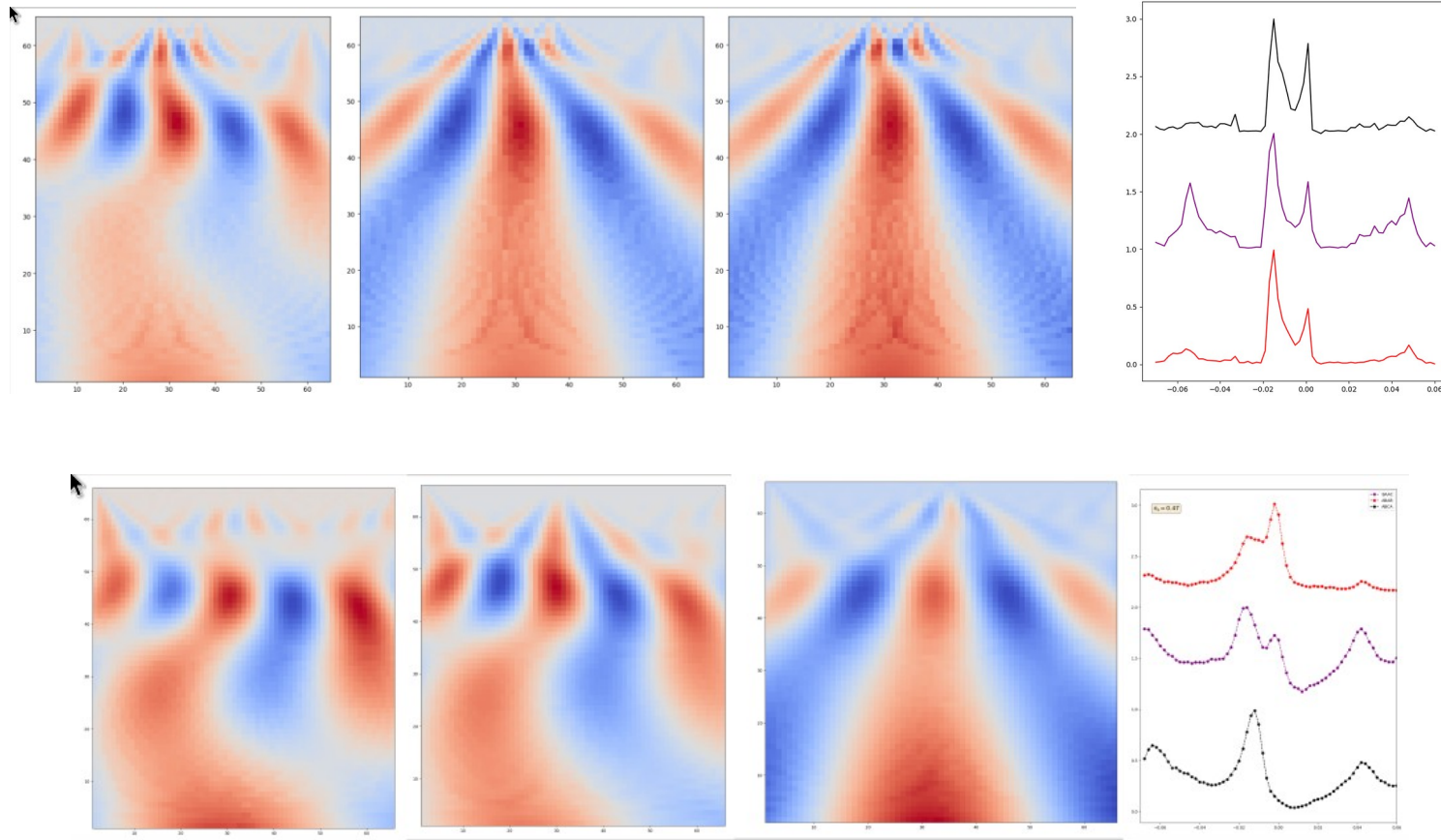
Preprocessing of the experimental data



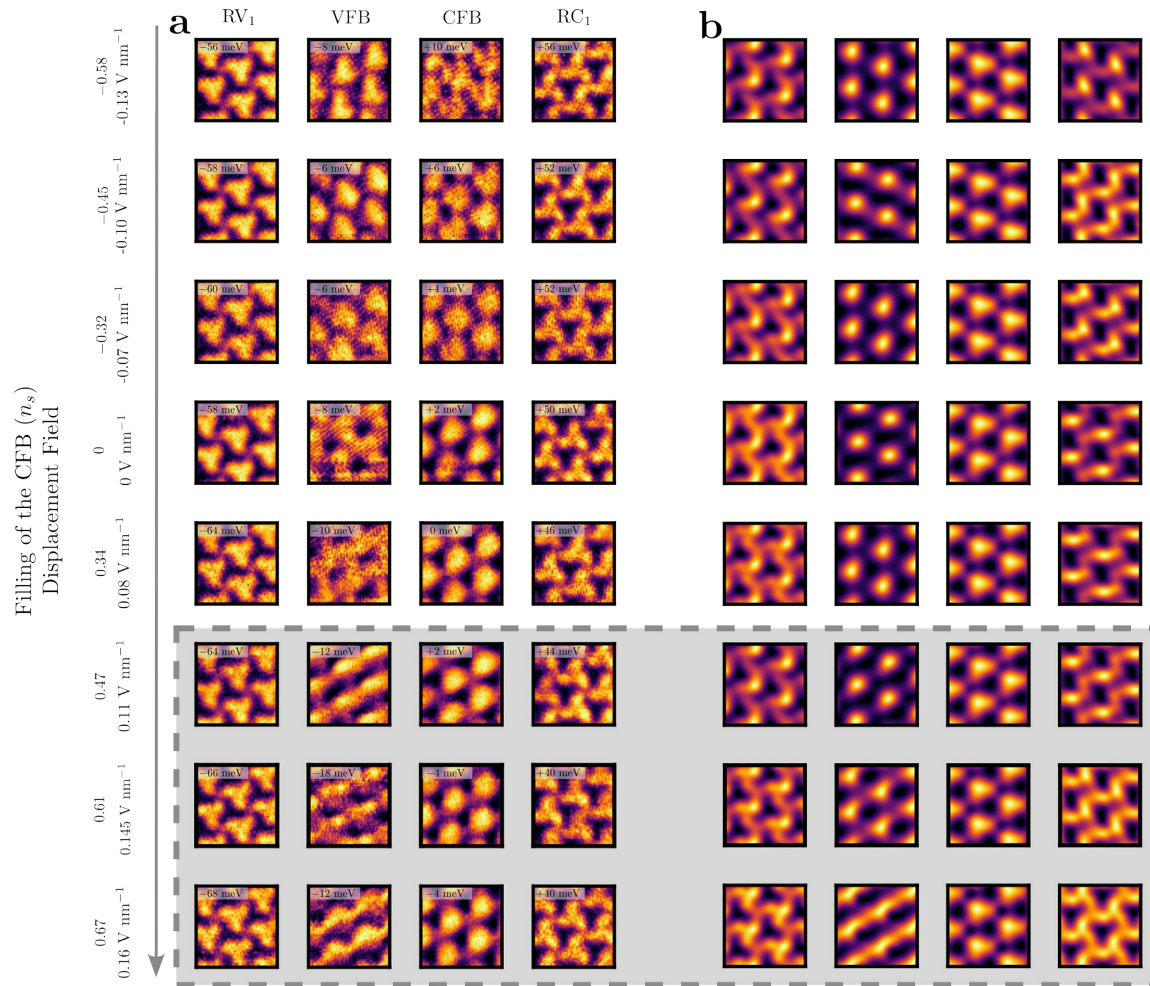
Preprocessing of the experimental data



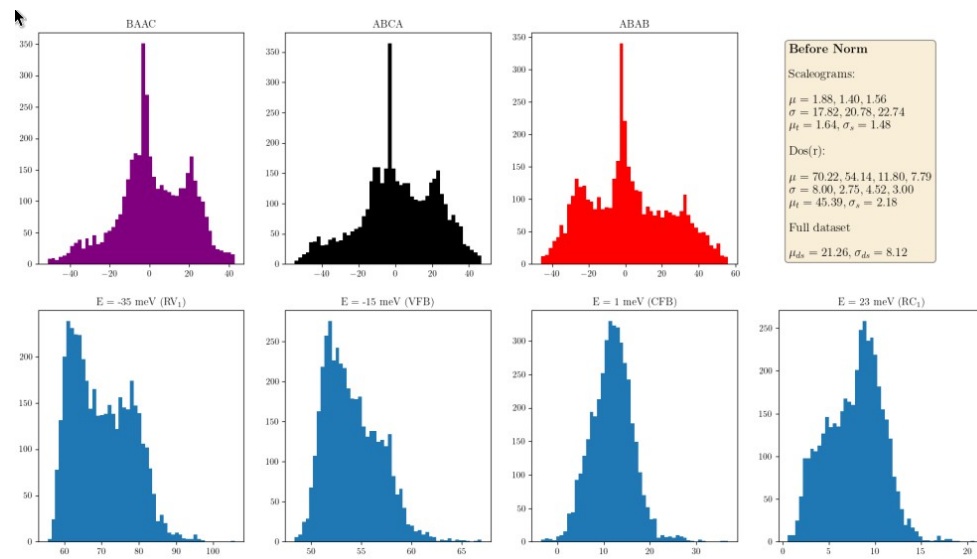
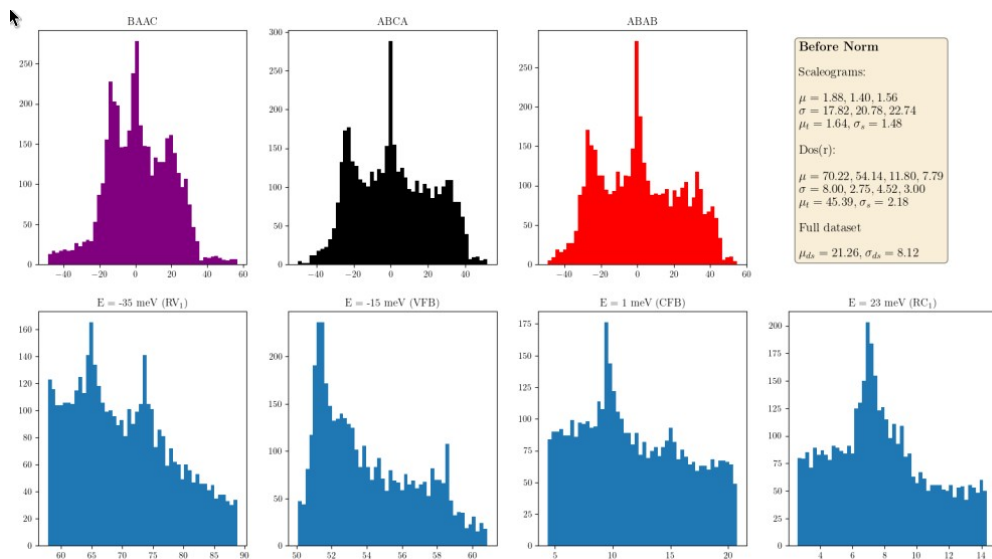
Preprocessing of the experimental data



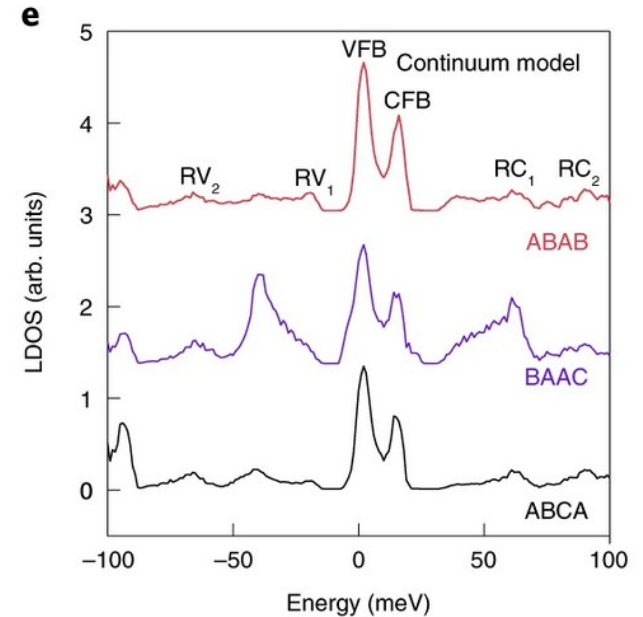
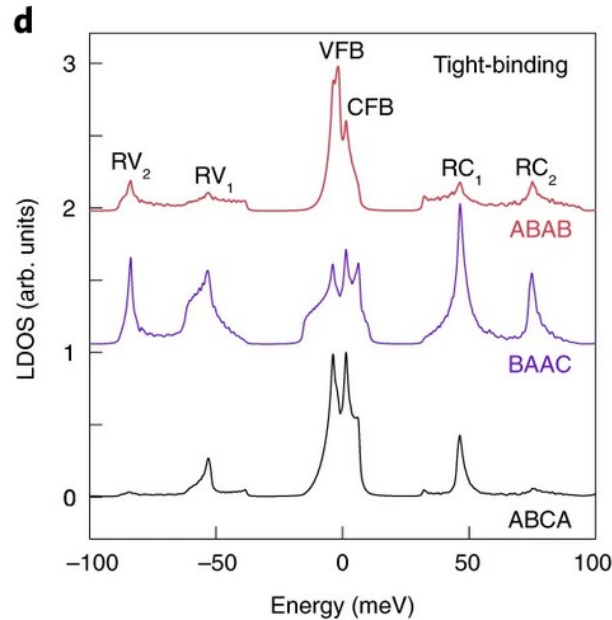
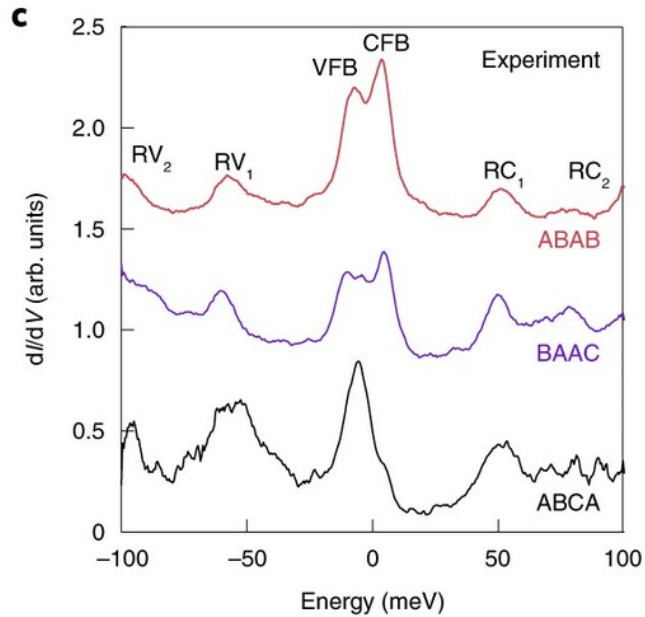
Predictions on D_{exp}



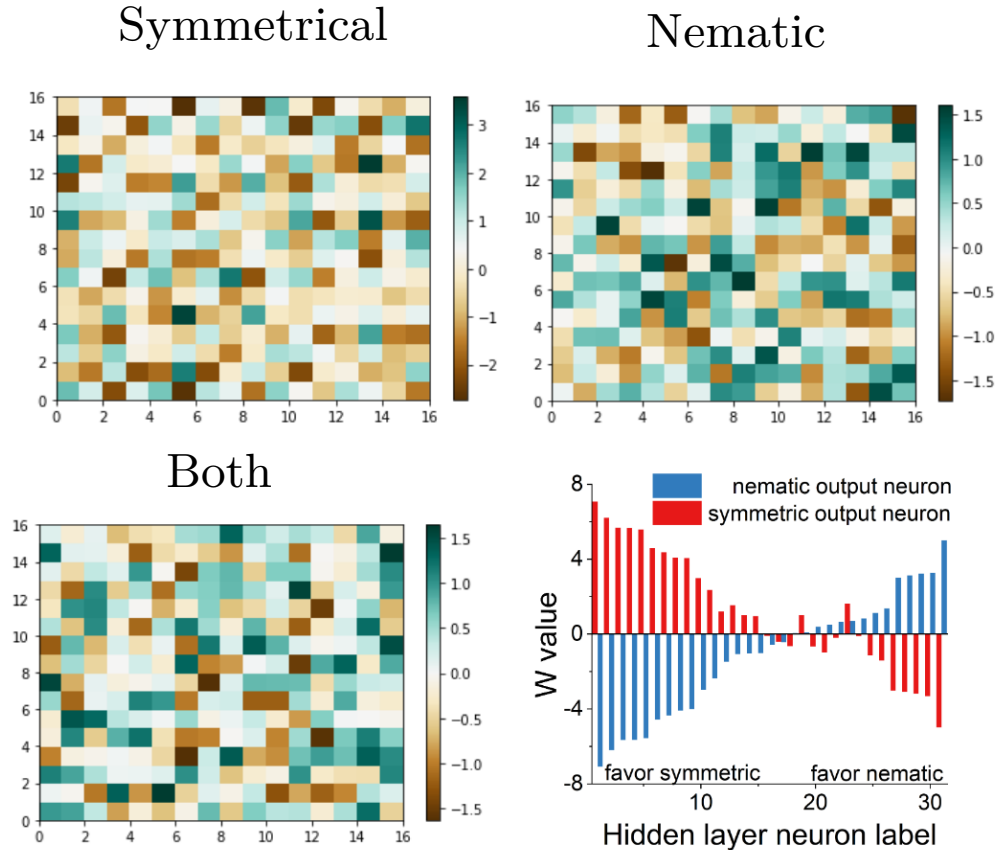
Preprocessing of the experimental data



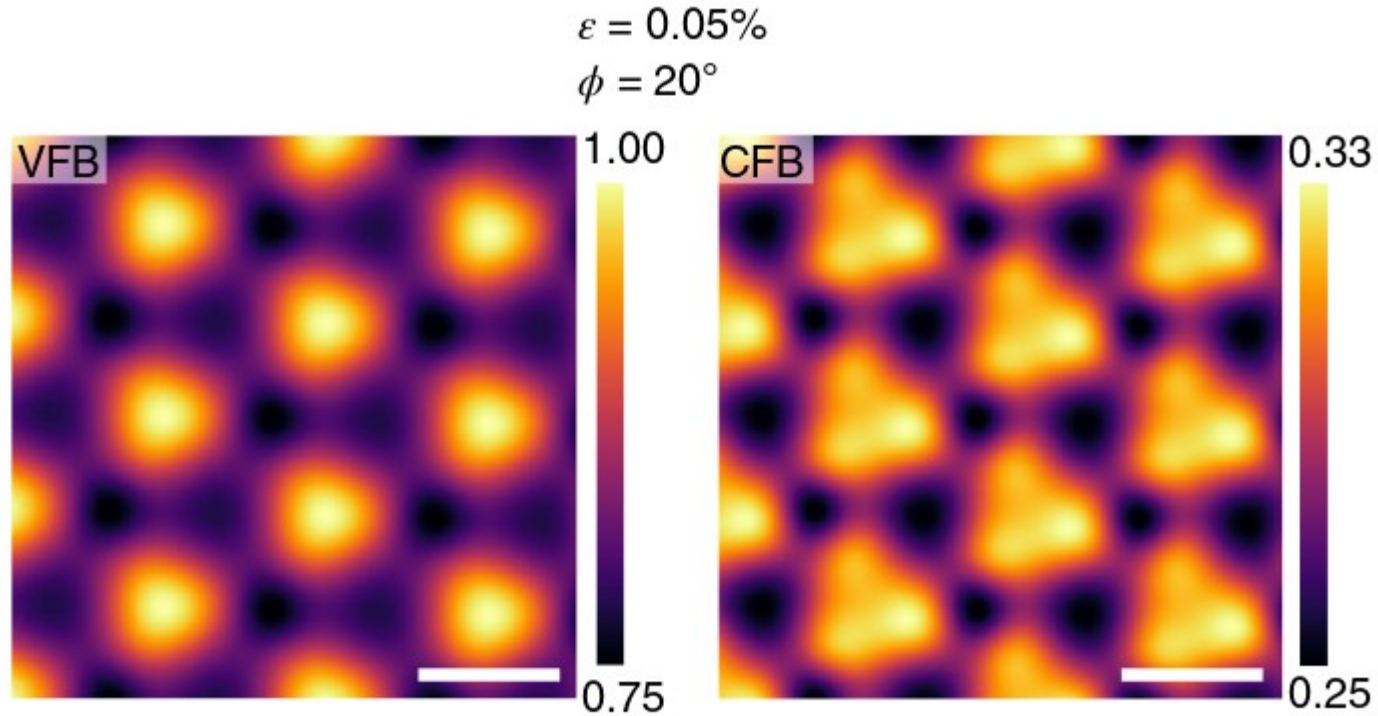
Limitations of theoretical model/data resolution



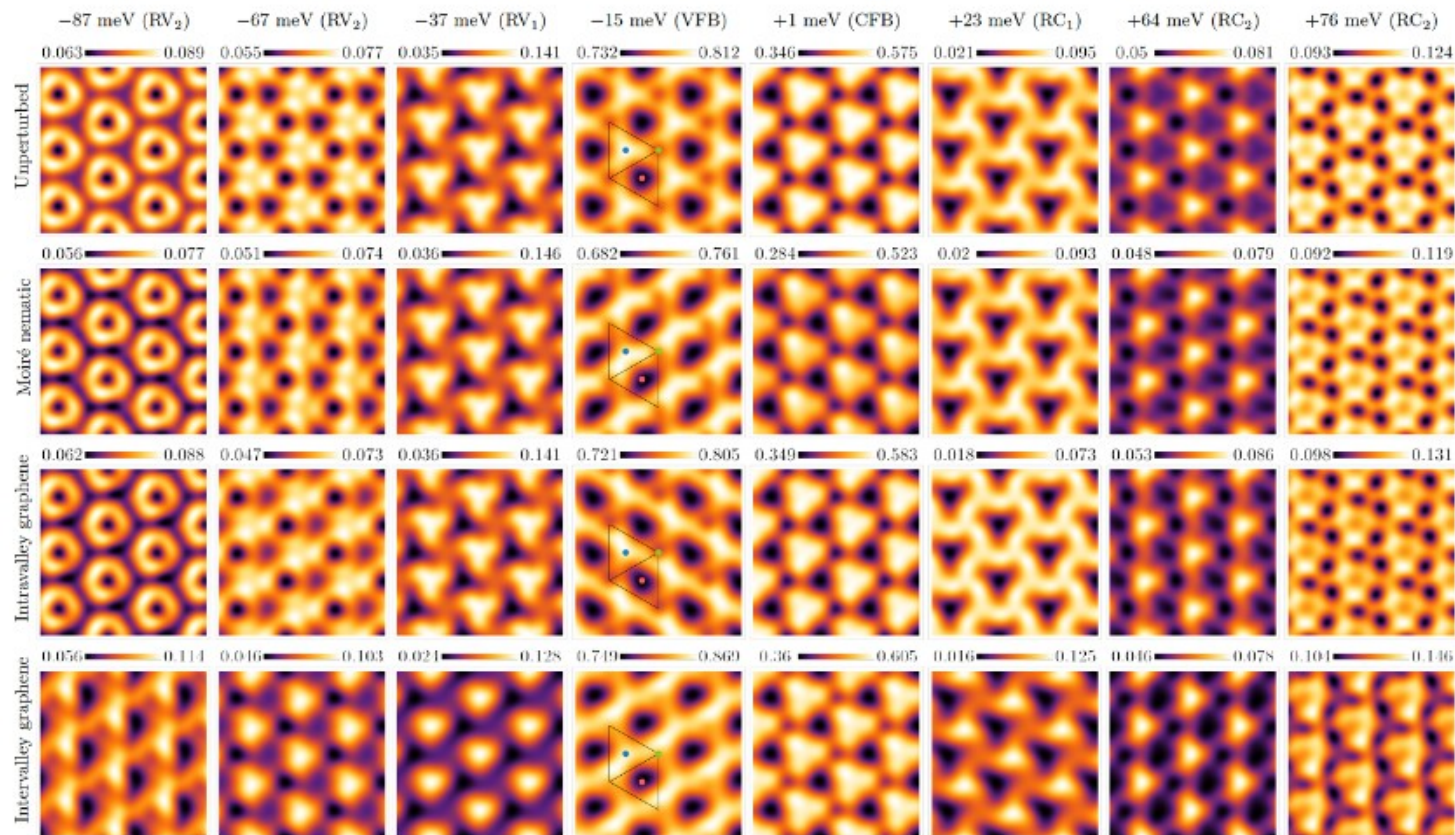
Interpretability? Correlations in AI nematic study



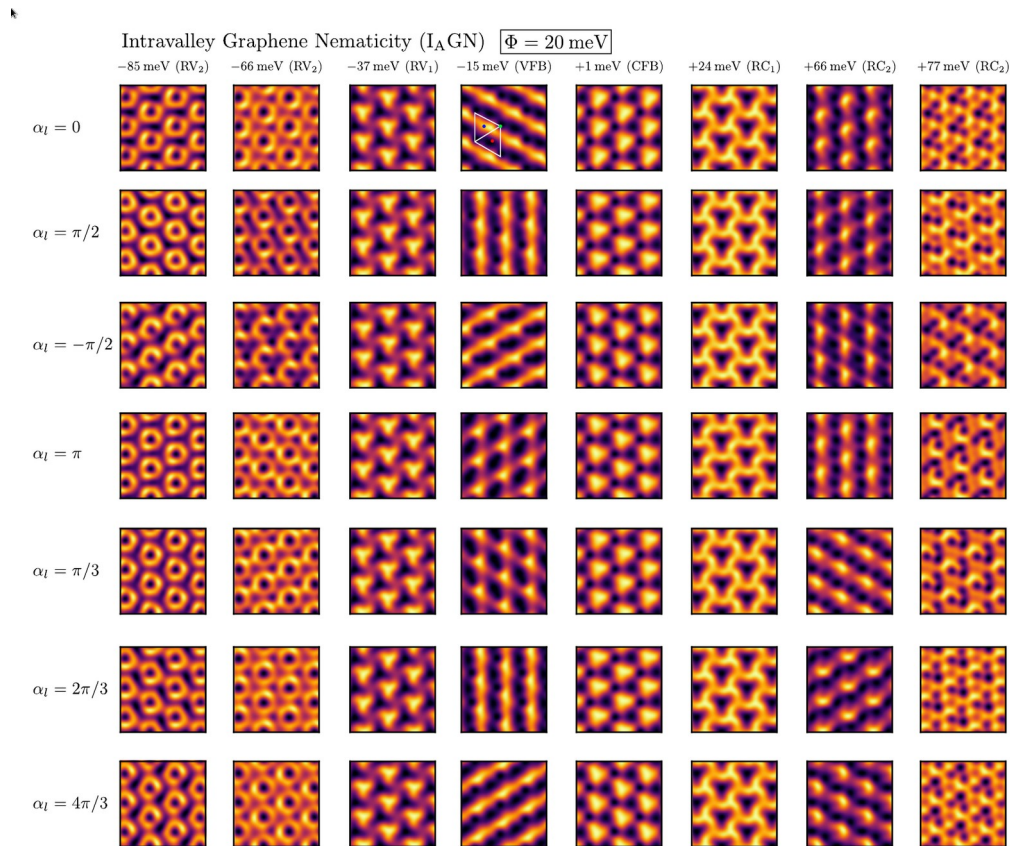
Tight Binding in TDBG



More nematic couplings: Intervalley GN



More nematic couplings: Intervalley GN



R. Samajdar, M. S. Scheurer, S. Turkel, et al. 2D Materials 8, 034005 (2021).